

1 **Supplementary Material: Climate-energy-water nexus in**

2 **Brazilian oil refineries**

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9 **1. CAESAR - Carbon and Energy Strategy Analysis for**

10 **Refineries**

11

12 The CAESAR – Carbon and Energy Strategy Analysis for Refineries, used by this study, is a
13 simulation tool performed within Excel (Visual Basic Model), and relies on refining schemes,
14 including the following units' energy and mass balances: atmospheric distillation, vacuum
15 distillation, alkylation, atmospheric residue delayed coking, vacuum residue delayed coking,
16 propane desasphalter, catalytic reformer, fluid catalytic cracker, hydrocracker, residue fluid
17 catalytic cracker, hydrotreaters (naphtha, diesel, kerosene and instable products),
18 hydrotreatment of finished gasoline, lube unit, and hydrogen generation unit. The processing
19 units' capacities are determined, as well as the processed feedstocks, specific utilities
20 consumption (steam, fuel and hydrogen) and specific water consumption. The outputs of the
21 tool consist of the final energy consumption, CO₂ emissions, oil products output, and refineries'
22 water consumption and demand.

23 The model consists of 12 sheets named: Menu, Input, Scenario, Production, Alerts, Simulation
24 P01, P02, P03, P04 and P05, Measures, Mitigation and Mitigation Graphs. The first sheet of the
25 simulator is called "Menu". It has the name of the simulator, as well as the menu itself, with the
26 hyperlinks of the respective flaps that constitute the simulator. In the "Input" sheet, the
27 following data should be inserted: total capacities of the refinery's processing units (including
28 or not greenfield units and/or units' revamps); atmospheric distillation campaign¹ (naphtha,
29 diesel or kerosene campaigns); and type of HGU module (based on naphtha or natural gas fed
30 steam reform). The modification of the input data allows redesign the entire simulation. The

¹ Campaign, in this case, means maximizing the production of a given cut (naphtha, kerosene and distillates) in the atmospheric distillation unit, through typical yields for different crude oils. These campaigns can be modified at any time, what leads to a new derivative production profile.

31 tool is prepared (mass and energy balances) for six types of crudes² (**Table 1**), whose blend
32 should be defined by the user. The yields of the atmospheric distillation unit for each type of oil
33 is presented in **Table 2**.

34

35

Table 1 - Types of crude oils available in CAESAR

Category	Type	° API	Sulphur (ppm)
Crude Oil 1	Arabian Light	33.4	1.80%
Crude Oil 2	Bonny Light	37.6	0.13%
Crude Oil 3	Light ⁶	40.1	0.18%
Crude Oil 4	Medium TR ⁷	28.4	0.61%
Crude Oil 5	Heavy ⁸	20.3	0.74%
Crude Oil 6	Medium ⁹	29.3	0.36%

36 ⁶Represented by the African oil Brass River with a 40.1°API, sulfur content 0.18% in mass term and similar yields to the Brazilian
37 oil Piranema (ANP, 2013); ⁷The type Medium TR (total reserves) is represented by the Brazilian oil Barracuda with a 24.8°API, and
38 Sulphur content of 0.61% in mass term (BARROS and SZKLO, 2015); ⁸Represented by the Brazilian oil Marlim with a 20.3°API
39 and a Sulphur content of 0.74% in mass term (BARROS and SZKLO, 2015); ⁹The Medium type is represented by the Brazilian Oil
40 Lula with a 29.3°API and a Sulphur content of 0.36% in mass term.

41

Source: BERGERSON et al. (2017); BARROS and SZKLO (2015)

42

43 The “Scenarios” sheet includes: the growth rate of supply and demand of natural gas and
44 refinery fuels (if the user wants to simulate the evolution of oil products balance); production/
45 imports/ exports/ net supply of crude oil; price of crude oil, energy inputs and oil products; the
46 discount rate, which is used to calculate the capital recovery costs of the CO₂ mitigation
47 technologies; and possible environmental restrictions, which can be associated with the price of
48 CO₂ emitted or with CO₂ emission caps.

49 The “Production” sheet reports the results of the model, including the output of oil products for
50 each period, final energy use detailed by fuel, electricity consumption and total CO₂ emissions.
51 The results are reported both in the form of tables and graphs.

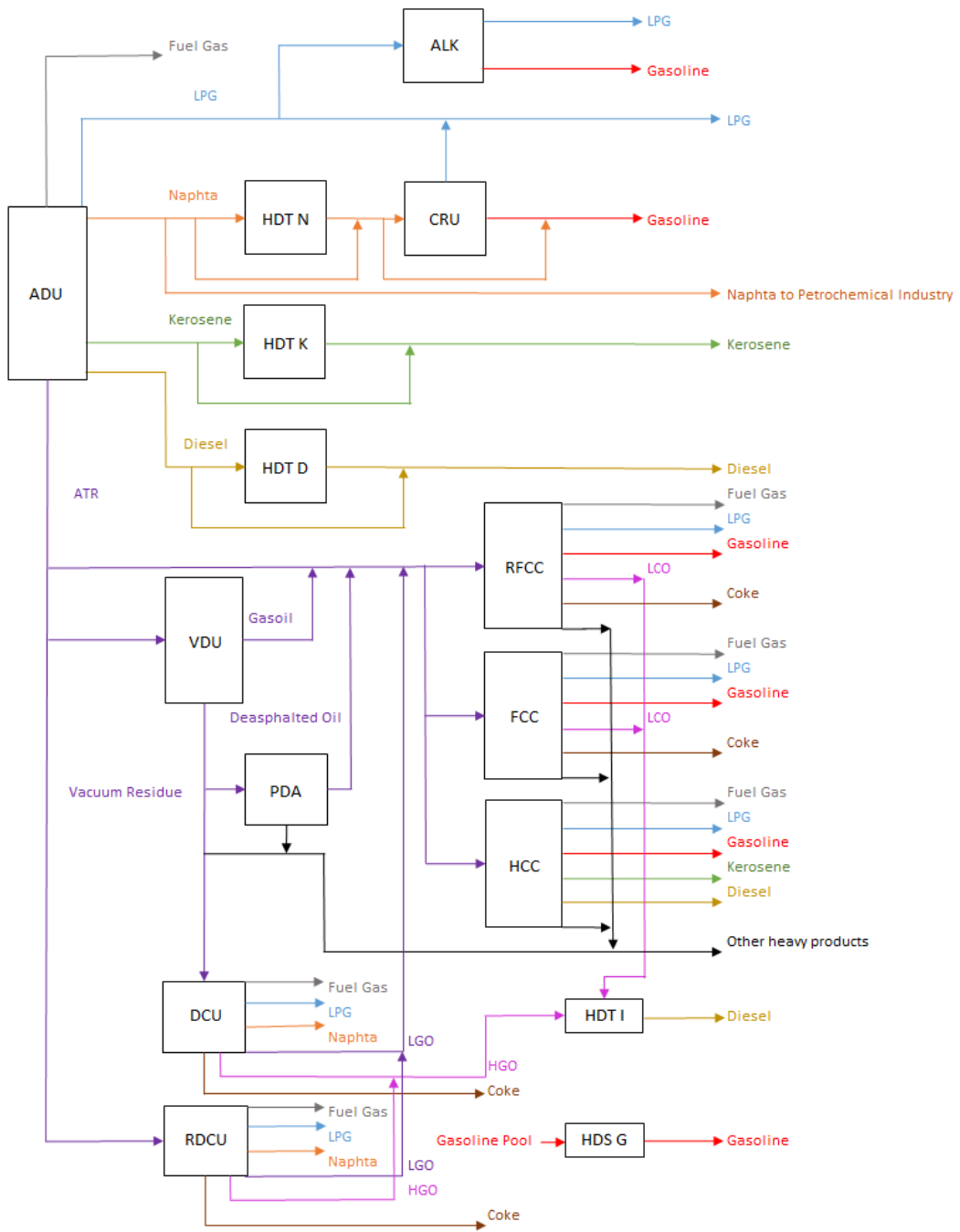
52 The “Alert” sheet aims to alert about any errors found in the simulator, for example, a hydrogen
53 balance error, which indicates that the capacity or the capacity factor of the hydrogen
54 production unit should be reduced/increased.

55 The sheets called “Simulation P01, P02, P03, P04 and P05” depicts the refining scheme
56 considered for Brazilian refineries (current and possible revamps). They are all made up of the

² This choice is in line with the trend of Brazilian production influenced by pre-salt streams and reduced refining of imported oil (ANP, 2017). According to GOLDEMBERG et al. (2014), the expected average API grade processed in Brazil should vary between 25 and 30 until 2030.

57 following units: atmospheric distillation, vacuum distillation, alkylation, atmospheric residue
58 delayed coking, vacuum residue delayed coking, propane desasphalter, catalytic reformer, fluid
59 catalytic cracker, hydrocracker, residue fluid catalytic cracker, hydrotreaters (naphtha, diesel,
60 kerosene and instable products), hydrotreatment of finished gasoline, lube unit, and hydrogen
61 generation unit (**Figure 1**). The only variables that change from one tab to the other are the
62 processing capacities of each unit, and the yields of the atmospheric distillation unit, since they
63 depend on the campaign applied and on the feedstock blend (**Table 2**).

64



65

66

Figure 1 - Oil Refining Basic Scheme of CAESAR

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Table 2 - Yields of the Atmospheric distillation unit for each type of oil (% volume)

Campaign	Product	Arabian Light	Bonny Light	Light	Medium RT	Heavy	Medium
Naphtha	Fuel Gas (m ³ OCPE ¹ /m ³ input flow)	0.01	0.05	0.04	0.05	0.03	0.06
	LPG	1.34	1.66	2.81	0.50	0.27	1.83
	Naphtha	23.11	27.70	37.70	11.35	7.15	15.55
	Kerosene	0.00	0.00	0.00	0.00	0.00	0.00
	Diesel	41.37	49.37	45.38	42.18	41.85	37.08
	Atmospheric Residue	35.52	22.93	16.93	47.90	50.73	45.77
Kerosene	Fuel Gas (m ³ OCPE ¹ /m ³ input flow)	0.01	0.05	0.04	0.05	0.03	0.06
	LPG	1.34	1.66	2.81	0.50	0.27	1.83
	Naphtha	17.67	22.06	30.15	8.26	5.11	11.62
	Kerosene	11.16	11.96	12.71	7.46	5.97	9.75
	Diesel	35.66	43.05	40.22	37.80	37.92	31.27
	Atmospheric Residue	35.52	22.93	16.93	47.90	50.73	45.77
Diesel	Fuel Gas (m ³ OCPE ¹ /m ³ input flow)	0.01	0.05	0.04	0.05	0.03	0.06
	LPG	1.34	1.66	2.81	0.50	0.27	1.83
	Naphtha	17.67	22.06	30.15	8.26	5.11	11.62
	Kerosene	0.00	0.00	0.00	0.00	0.00	0.00
	Diesel	46.81	55.01	52.92	45.26	43.89	41.01
	Atmospheric Residue	35.52	22.93	16.93	47.90	50.73	45.77

69 Source: BARROS and SZKLO (2015); HYDROCARBON PROCESSING (2008); MEYERS (2004); GARY &
70 HANDWERK (2001)

71 ¹Fuel Oil Standard Equivalent

72

73 The yields of the vacuum distillation unit vary only with the residue of atmospheric distillation
74 unit (ATR), regardless of the chosen campaign (Table 3). The other processing units have fixed
75 average yields (as shown in Table 4).

76

77 Table 3 - Yields of the Vacuum distillation unit (VDU) (% volume)

Product	Arabian Light	Bonny Light	Light	Medium RT	Heavy	Medium
Vacuum Gasoil	55.49	77.71	77.97	53.91	48.32	50.60
Vacuum Residue	44.50	22.59	22.03	46.09	51.68	49.40

78 Source: BARROS and SZKLO (2015); HYDROCARBON PROCESSING (2008); MEYERS (2004); GARY &
79 HANDWERK (2001)

80

Table 4 - Yields of the process units (% volume)

Output	CRU	ALQ	FCC	RFCC	Coking	HGU (Naphtha)	HGU (Natural gas)
H₂ (Nm³/m³ input flow)	274.00					2,264.00	2.80
Fuel Gas (m³ OCPE/m³ input flow)	3.40		5.00	5.00	5.50		
FCC Coke (m³ OCPE/m³ input flow)			5.30	4.70			
LPG	2.60	17.00	26.00	19.30	5.20		
Naphtha			55.20	48.00	9.00		
Alkylate		83.00					
Reformate	83.00						
Kerosene							
Diesel							
LCO			18.70	18.70			
Slurry Oil			13.60	15.10			
GOLK					48.00		
GOPK					14.50		
Coke					31.10		

82 CRU – Catalytic reforming unit; ALQ – Alkylation unit; RFCC – Residue catalytic unit; HGU – Hydrogen generation unit; FCC –
83 Fluid catalytic cracking; LPG – liquefied petroleum gas; LCO – Light cycle oil; GOLK – Coke light gasoil; GOPK – Coke heavy
84 gasoil

85 Source: BARROS and SZKLO (2015); HYDROCARBON PROCESSING (2008); MEYERS (2004); GARY &
86 HANDWERK (2001)

87

88 According to the typical operation of Brazilian refineries (CASTELO BRANCO et al., 2010;
89 SZKLO, ULLER and BONFÁ, 2012; BARROS and SZKLO, 2015), the following assumptions
90 are made:

- 91 • ATR³ leaving the ADU must first meet all of the capacity of RFCC, then the capacity of
92 the lubricants unit and lastly VDU capacity;
- 93 • Naphtha not transferred to petrochemicals production is hydrotreated in the HDT N unit
94 and then delivered to the CR unit producing gasoline.
- 95 • The stream that enters the FCC is composed of the VDU heavy gasoil and heavy gasoil
96 from the Coking unit;
- 97 • LCO produced in the RFCC and FCC units, as well as the GOLK from the Coking unit
98 goes to the unstable pool, which in turn is routed to the HDT I unit, producing diesel . If
99 there are unstable surplus, or if the stream sent to HDT I is greater than its capacity,
100 these are sent to the heavy fuel oil stream;
- 101 • Diesel produced by the ADU is sent to the HDT D unit, meeting 90% of its capacity,
102 with the remain part bypassing the hydrotreatment;

³ ATR – Atmospheric residue

103 • LPG produced by the ADU is sent to the Alkylation unit, meeting 90% of its capacity,
 104 and the remaining fraction is sent to the pool of LPG, along with the LPG produced by
 105 the CR.

106 In the “Measures” sheet, all the fuel saving options that can be considered by the model are
 107 listed, as well as the CC technologies (see **Table 8** and **Table 9** in APPENDIX at the end of this
 108 document). They are detailed according to the processing units in which they can be
 109 implemented, their potential to reduce the specific energy consumption, the investment costs,
 110 operation and maintenance costs, penetration rates (%)⁴ and final energy consumption reduction
 111 costs (the fuel saving times the fuel price). In total, 204 options of technologies are available in
 112 CAESAR. **Table 5** summarizes the distribution of technologies according to their average
 113 abatement costs and the total abatement potential, for each cost range.

114

115

Table 5 - Summary of CO₂ emissions mitigation options by cost range available in CAESAR

Cost (US\$/tCO ₂)	Number of Technologies	Abatement Potential (MtCO ₂)
$C \leq 0$	15	1.4
$0 < C \leq 25$	85	143.5
$25 < C \leq 50$	6	9.4
$50 < C \leq 100$	24	205.7
$100 < C \leq 200$	49	144.5
$C \geq 200$	25	196.2

116

117 For the calculation of the CO₂ emission abatement potential in existing Brazilian refineries,
 118 penetration rates of the mitigation options were accounted for, as some of them are already
 119 implemented in the existing facilities, for the reason of being fuel saving technologies (this was
 120 the driver behind their early adoption). Those rates are quite similar to the ones found in the
 121 North American refinery system, according to Morrow III et al (2013). Furthermore, this study
 122 assumed that all technologies could effectively be implemented by the existing Brazilian
 123 refineries, i.e., there are no technical impediments⁵ for their implementation.

124 The “Mitigation” sheet shows the simulator's estimates for the status and low-carbon scenarios
 125 in which the energy efficiency technologies and CC are implemented, in accordance with a CO₂
 126 price defined by the user of the tool. Thus, the model compares the CO₂ price with the
 127 abatement technologies’ costs and implement them according to the abatement cost curve.

⁴ This depends on the current technological status of the existing refineries.

⁵ A technical impediment can include lack of space or infrastructure in a unit. This leads to an impediment or, at the very least, an increase in the cost of implementing a certain abatement measure.

128 Basically, in the simulator tool, a Linear Programming optimization is performed, using the
 129 solver of Excel, according to the price of energy inputs, demand and supply constraints, and the
 130 cost of CO₂ (CO₂ price x emission of energy sources). For the objective-function, see equations
 131 (1.a and 1.b).

132

$$133 \quad z = Sales - Energy Input Cost - Mitigation Cost - Carbon Cost$$

134 (1.a)

135

$$136 \quad z =$$

$$137 \quad \sum_i Product_i \cdot Sale Price_i - \sum_j Energy Input_j \cdot Price_j - \sum_k (Inv_k \cdot CRF + OM_k) - CO_2 Emission \cdot$$

$$138 \quad Price_{CO_2}$$

139 (1.b)

140

141 Where “Sales” is the gross revenue from the refinery’s products sales (the quantity of each
 142 product “i”, or “Product_i” times its “Sale Price_i” ex-taxes); “Energy Input Cost” is the total
 143 energy cost of the refinery (the quantity of each “Energy Input_j” times its “Price_j”); “Mitigation
 144 Cost” is the total cost of mitigation options listed in the model (the investment cost of each
 145 technology “k”, or “Inv_k” times the capital recovery factor “CRF” (see equation 3) plus O&M
 146 costs of each technology “k”, or “OM_k”); and “Carbon Cost” is the total cost of CO₂ emitted
 147 (CO₂ emissions times the price set for CO₂ emissions, or “Price_{CO₂”).}

148 The abatement cost of each technology was calculated as follows (equation 2):

$$149 \quad AbatCost_m = \frac{(Inv_m \times CRF) + O\&M_m}{CO_2 AvoidEmissions_m}$$

150 (2)

151 Where “Inv_m” is the investment cost of the technology “m”; “CRF” is the capital recovery
 152 factor; “O&M_m” is the operations and maintenance cost of the technology “m”; “CO₂
 153 AvoidEmissions_m” are the emissions avoided with the implementation of the technology “m”.

154 Equation (3) shows the calculation of the capital recovery factor.

$$155 \quad CapRec = \frac{r \times (1+r)^t}{((1+r)^t - 1)}$$

156 (3)

157 Where “r” is the discount rate⁶; “t” is the period of analysis.

158 Therefore, the simulator will optimize the fuel mix to meet the demand of boilers, direct heating
159 and cogeneration. It does not include in the optimization the amount of natural gas or naphtha
160 needed to meet the demand of the HGU. In addition, by including the cost of CO₂ associated
161 with fuel burning (CO₂ emitted times CO₂ price), the optimization is able to find the least cost
162 fuel mix that increases the net operational revenue under external cost internalization.

163 In addition, restrictions of the optimization process consist of (equations 4, 5, 6 and 7):

164 $Energy\ consumption\ by\ fuel \geq 0$ (4)

165 $Energy\ consumption\ by\ fuel \leq Energy\ supply\ by\ fuel$ (5)

166 $Total\ energy\ consumption = Total\ energy\ demand$ (6)

167 $Energy\ apparent\ production^7 \geq Minimum\ demand\ that\ production\ must\ meet^8$ (7)

168

169 Finally, the “Mitigation Graphs” sheet shows the abatement cost curve, which depicts the
170 cumulative abatement potential of each measure (MtCO₂) versus its abatement cost (US\$/
171 tCO₂).

172

173 **1.1. Simulating with CAESAR**

174

175 In this section, we describe the different steps of the procedure to carry out the simulation run.

176 *Step 1 - Simulating the current Brazilian oil refinery industry to define a baseline*

177

- 178 1) Set in the "Input" tab the refining campaign to be used in each period (naphtha,
179 diesel or kerosene) as well as the type of HGU module (naphtha or natural gas)
180 chosen;
- 181 2) In the tab "Scenarios", set the percentage of export and import of crude oil, the
182 growth rates of natural gas supply and demand for oil.

⁶ The discount rate used in this study was 10% p.y.

⁷ These values represent the quantity of derivatives that are produced by the refinery and which is not used as an energy input.

⁸ This expression represents a possible gap in the demand-side constraint that the simulation model should adopt. This gap is based on what Brazil could import or stock for the products under consideration and/ or its replacement by other products.

- 183 3) Set the discount rate, on an annual basis.
184 4) Set the prices of oil products, CO₂ and natural gas.
185 5) Implement the optimization problem to find the optimum mix of fuels to be used by
186 the oil refinery.

187 From the insertion of such data, it is possible to obtain the results of energy and water
188 consumption, output of oil products and CO₂ emissions of the oil refining industry.

189 *Step 2 - Simulating the application of energy efficiency technologies and carbon capture on*
190 *the oil refining industry*

191

- 192 1) Enter environmental restrictions in the "Scenario" tab. As mentioned before, they
193 can be associated with the price of CO₂ emitted, or with CO₂ emission limits. In our
194 study, we adopted the former alternative.
195 2) In the "Mitigation" tab click on the "optimization" button. The fuel consumption
196 will be optimized. Then, the tab consolidates a fuel matrix and calculates the
197 corresponding CO₂ emissions and revenues. The water balance results are shown by
198 the table "water calculation", both in terms of water demand and water
199 consumption.

200 Lastly, the model builds automatically the emission charts, as well as the abatement cost curves
201 for each scenario simulated.

202

203 **2. WEAP**

204

205 The following section details the methodology implemented for the water balance of
206 REPLAN's hydrographic sub-basin.

207 **2.1. Delimitation of the study area**

208

209 In this step maps of the relevant areas are loaded in WEAP from geographic information
210 systems software. These maps contain geographical limitations of the area, fluvimetric and
211 rainfall stations, rivers and their tributaries to water catchment points, reservoirs, dams and
212 delimitation of the area of the types of land uses to be considered.

213 **2.2. Climatic data**

214

215 The climatological data required for the Rainfall Runoff method are rainfall, crop reference
216 evapotranspiration and crop coefficient.

217 In relation to the precipitation data, both the missing data and the outliers were treated by using
218 the weighted average method. This method is based on the assumption that the missing
219 precipitation data from a particular station is proportional to the data available for the same day
220 or month of neighboring stations. Thus, the precipitation estimate of the missing data for a
221 month is calculated as follows:

$$222 \quad P_x = \frac{1}{n} \left[\left(P_1 \frac{N_x}{N_1} \right) + \left(P_2 \frac{N_x}{N_2} \right) + \dots + \left(P_n \frac{N_x}{N_n} \right) \right] \quad (8)$$

223 Where “ P_x ” is the missing precipitation data; “ n ” is the number of rainfall stations; “ N ” is the
224 average annual rainfall of the historical series; “ N_1, N_x ” is the annual precipitation of the stations
225 used as calculation parameter and “ P_1, P_n ” is the monthly precipitation of the stations used as
226 calculation parameter.

227 In addition, climatic data are time-series observations that need to be transformed into data
228 corresponding to catchment areas before being integrated and used by the hydrological model.
229 The method used was the arithmetic mean that gives each station within the catchment area an
230 equal weight.

231

232 **2.3. Identification of water demands**

233

234 The demands considered to simulate the water balance are:

235

236 **2.3.1. Urban Demand**

237

238 The calculation of this demand follows the coefficient of volume of water consumed per person
239 in a year. The logistic method and the growth participation projection method were used for the
240 projection of population growth of cities using water resources from the basins inserted in the
241 study, based on the last three demographic censuses for the years 1991, 2000 and 2010 (IBGE,
242 1991, 2000, 2010). In the logistic method the population growth follows a mathematical
243 relationship that establishes an S-shaped curve, which shows the percentage growth rate
244 proportional to the residual population. The population tends asymptotically to a saturation
245 value (P_s). The logistic method is calculated as follows:

$$246 \quad \frac{dP}{dt} = k \times P \left(\frac{P_s - P}{P} \right) \quad (9.1)$$

247

248

$$249 \quad P_s = \frac{2(P_0 \times P_1 \times P_2) - P_1^2(P_0 + P_2)}{(P_0 \times P_2 - P_1^2)} \quad (9.2)$$

250 Where:

$$251 \quad k = \frac{1}{(t_2 - t_1)} \ln \left[\frac{P_0 (P_s - P_1)}{P_1 (P_s - P_0)} \right] \quad (9.3)$$

252

253 Where “P₀” is the population in 1991; “P₁” is population in 2000; “P₂” is population in 2010 and
 254 “P_s” is population of saturation.

255 The growth participation projection method, or AiBi method, was applied to cities in which the
 256 above conditions were not met. This method is AiBi is the method used by IBGE to project the
 257 total population of the Brazilian municipalities and is based on the premise that the population
 258 of a small municipality behaves linearly in relation to the population of a larger municipality or
 259 in relation to state which is located (BRITO; CAVENAGHI; JANNUZZI, 2010).

260 Its calculation is based on the relative difference between the population of the municipalities
 261 and that of the state in two periods in the past, in this way the relative participation of each
 262 municipality in the growth of the state is calculated (BRITO; CAVENAGHI; JANNUZZI,
 263 2010).

264 This calculated proportion is multiplied by the absolute growth of the state in the period to be
 265 projected, resulting in the expected growth for each municipality, which added to the population
 266 of the base period, will result in the projected population. The equation used to design the
 267 population of a municipality in period t is as follows:

$$268 \quad P_{i,t+n} = A_i \times P_{j,t+n} + B_i \quad (10.1)$$

269

$$270 \quad A_i = \frac{(P_{i,t} - P_{i,0})}{(P_{j,t} - P_{j,0})} \quad (10.2)$$

271

$$272 \quad B_{i,t} = P_{i,t} - A_i \times P_j \quad (10.3)$$

273

274 Where “P_(i,t+n)” is the population of the municipality to be projected; “P_(j,t+n)” is the projected
 275 population of the state where the municipality is located in year (t + n) ; “A_i” is the coefficient

276 of proportionality between the growth of the municipality and the larger area and “B_i” is the
277 linear coefficient of correction.

278 After calculating the number of inhabitants in each municipality, it is possible to estimate the
279 demand for water for urban supply (Equation 11) from a per capita water consumption value
280 that varies according to the consumption pattern of each region and should be observed
281 separately, for each area studied.

$$282 \text{ Urban Water Demand} = N^{\circ} \text{ inhabitants} \times \text{water consumption per capita} \quad (11)$$

283

284 **2.3.2. Irrigation Demand**

285

286 In WEAP, to calculate the need for water for irrigation, the available precipitation for
287 evapotranspiration as well as potential crop evapotranspiration are calculated first, which are
288 calculated as follows:

$$289 P_{evap} = P_{\text{area}} \times \text{Area} \times E_p \quad (12)$$

290

291 Where “P_{evap}” is the precipitation available for evapotranspiration; “P_{area}” is the average
292 precipitation in the catchment area; “Area” is the catchment area and “E_p” is the percentage of
293 rainfall that could be used for evapotranspiration

294

$$295 ET_p = ET_{ref} \times K_c \times \text{Area} \quad (13)$$

296

297 Where “E_{TP}” is potential evapotranspiration of culture; “ET_{ref}” is the reference evaporation of
298 crop and “K_c” is the crop coefficient.

299 The need for irrigation is, then, calculated from the difference between the potential
300 evapotranspiration value of the crops in the study area and the amount of precipitation available
301 according to the following equation:

$$302 \text{ Irrigation Demand} = \max(0, ET_p - P_{evap}) \quad (14)$$

303

304 The irrigation demand for the base year was obtained from land use and land cover data in
305 geospatial vector data format of the environment secretary of São Paulo (SECRETARY OF
306 THE ENVIRONMENT OF THE STATE OF SÃO PAULO, 2016). The data was cut to contain

307 only the study area and then classified the different types of land use as required for use in
308 WEAP.

309 The most relevant crops for the study were selected from the information on planted area
310 calculated in geographic information systems software for the municipalities of São Paulo.
311 Crops that presented a percentage of planted area within the catchment area higher than 3%
312 were chosen. Thus, the relevant crops in the catchment areas are sugarcane, soybean, corn,
313 coffee and citrus.

314 The reference evapotranspiration data used were obtained by the Integrated Center for
315 Agrometeorological Information (CIIAGRO, 2016). The values considered for the catchment
316 areas of the study derive from the arithmetic mean of the data of each municipality inserted
317 within each of the areas (CIIAGRO, 2016).

318 The crop coefficient (Kc) data obtained are distinguished according to the cultivation period
319 (initial, half, final). Since it is not possible to predict at what stage a crop is found only with
320 planting area data, the Kcs considered in the model are the averages of the Kc values presented
321 in the table below.

322

Table 6 - Crop coefficient values

Type of crop	Kc Initial	Kc half	Kc Final	Average
Pasture	0,4	0,95	0,85	0,73
Coffee	0,9	0,95	0,95	0,93
Citrus	0,75	0,7	0,75	0,73
Soy	-	1,15	0,5	0,83
Corn	-	1,2	0,45	0,83
Sugarcane	0,4	1,25	0,75	0,80

323

Source: ONS (2004)

324

325 According to OECD-FAO (2015), the Brazilian agricultural sector is expected to grow steadily
326 over the next ten years, especially for crops such as oilseeds, rice, wheat, sugarcane and cotton.
327 Land use for agriculture is expected to reach 69.4 million hectares (Mha), 20% above the
328 average of the area used during the period 2012-14, representing a growth rate of 1.5% per year.
329 This growth is mainly driven by the projected increase in sugarcane production, which
330 represents approximately 37% of the projected growth for the year 2024. It is also estimated that

331 soy will continue to dominate land use in the Brazil in the next ten years, occupying almost half
 332 of the additional area of cultivation in 2024 (OECD-FAO, 2015).

333 The estimated demand for irrigation between the period 2016-2040 was based on the growth
 334 rate of 1.5% of the cultivated area for sugarcane and soybean. It was obeyed the territorial limit
 335 of each municipality present in the study as follows: as the area of planting increases, the
 336 pasture area decreases in order to maintain the total of the area established in the base year.

337 **2.3.3. Demand for animal husbandry**

338
 339 Water demand can be calculated from data on the number of animals per city made available by
 340 IBGE (Brazilian Institute of Geography and Statistics) and then calculate the product of the
 341 effective number of herds by a per capita coefficient of daily water consumption that is termed
 342 as Equivalent Cattle for Demand of Water - BEDA. The estimation of the demand for animal
 343 husbandry was carried out using the methodology presented in the Northeastern Integrated
 344 Water Resources Utilization Plan - PLIRHINE developed by the Superintendency of
 345 Development of the Northeast (SUDENE, 1998).

346 This methodology uses the product of the effective number of herds by a daily per capita
 347 coefficient that is denominated Equivalent Cattle for Water Demand - BEDA, in which the
 348 water consumption of each animal species is weighted in relation to the water demand of one
 349 Table 7. It is considered the demand of a bovine equal to 50 liters per day, that is, BEDA is
 350 equal to 50l / day.

351 **Table 7 - Equivalent Cattle for Water Demand values**

Type of Herd	BE DA	Demand per capita (l/day.BEDA)
Cattle	$\frac{BEDA}{1}$	50
Bubalinos	$\frac{BEDA}{1}$	50
Equines, Muares e Asininos	$\frac{BEDA}{1,25}$	40
Swine	$\frac{BEDA}{5}$	10
Sheep and Goats	$\frac{BEDA}{6,25}$	8
Rabbits	$\frac{BEDA}{200}$	0.25
Gallinaceous	$\frac{BEDA}{250}$	0.20

353 The demand of water consumed per animal is calculated according to the equation below:

$$354 \text{ Animal Husbandry Water Demand} = \text{Number of animals} \times \text{BEDA}_{\text{equivalent}} \\ 355 (15)$$

356 The herd data per municipality for the year 2015 were obtained from the IBGE Automatic
357 Recovery System (SIDRA, 2015).

358 Meat projections for Brazil indicate growth in coming years according to the OECD (OECD-
359 FAO, 2015) study on Brazil. The meats with the highest growth rates in the period between
360 2015 and 2025 are chicken meat, which has estimated annual growth of 3.0%, pork, whose
361 projected growth for that period is 2.7% per year, and beef has a projected growth of 2.4% per
362 year.

363 These percentages were used to calculate the projection of growth of livestock until the year
364 2025. The following years used constant values from that same year.

365

366 **2.3.4. Industrial Demand**

367

368 The concessions granted by the State of Sao Paulo for the withdrawal of industrial water were
369 consulted at the Department of water and electricity of Sao Paulo. It is possible to obtain the
370 withdrawal water volumes as well as the geographical coordinates of the water catchment point,
371 which were both inserted at WEAP. For this specific use of water, future projections remained
372 the same as 2015.

373

374 **2.4. Other data required**

375

376 “Other data” includes reservoirs for urban supply or dams used as flow regulators. For each one,
377 it is necessary to obtain data on downstream regulated flow, its capacity, its construction year
378 and any restrictions related to the allowed outflow for a monthly period.

379

380 **2.5. Minimum Flow Requirement**

381

382 The minimum river flow is defined as 50% of the lowest flow in 7 consecutive days for a 10-
383 year return period. Depending on demand priority, a flow requirement will be met before, after
384 or at the same time as other requirements on the river.

385

386 **2.6. Baseline scenario calibration**

387

388 After all climatic parameters, data on land use, reservoirs, dams, water demands and demand
389 priorities are inserted in the model, simulations are performed in which the observed values of
390 the fluviometric stations are compared with the flow data modeled by WEAP.

391 From the observed and simulated flow data, two calibration indices are calculated as suggested
392 by the WEAP program manual. These indices are the Nash-Sutcliffe efficiency index and the
393 BIAS index.

394 The efficiency coefficient of the Nash-Sutcliffe model is commonly used to evaluate the
395 predictive power of hydrological models and is defined by the equation:

$$396 \quad E = 1 - \frac{\sum_{i=1}^n (Q_{s,i} - Q_{o,i})^2}{\sum_{i=1}^n (Q_{o,i} - \bar{Q}_o)^2} \quad (16)$$

397

398 Where “E” is the Nash-Sutcliffe Coefficient; “ $Q_{(s,i)}$ ” is the Simulated flow in year one period;
399 “ $Q_{(o,i)}$ ” is the observed flow in one period; “ \bar{Q}_o ” is the average flow observed during the period
400 considered and n is the number of years.

401 According to (PEREIRA et al., 2016) the value of E equals 1 means perfect fit of the data
402 predicted by the model; if E is bigger than 0.75, the model is adequate and good; if E is between
403 0.36 and 0.75 the model is considered satisfactory. However, if E is smaller than 0.36, the
404 model is not satisfactory. The coefficient of efficiency is sensitive to extreme values and may
405 result in sub-optimal results when the dataset contains large outliers in it.

406 The BIAS index is defined by the equation:

$$407 \quad P_{BIAS} = 100 \times \left[\frac{(\bar{Q}_s - \bar{Q}_o)}{\bar{Q}_o} \right] \quad (17)$$

408

409 Where “ P_{BIAS} ” is the value of BIAS index; “ \bar{Q}_s ” is the average of simulated flows during the
410 period considered and “ \bar{Q}_o ” is the average of observed flows during the period considered.

411 The P_{BIAS} is the percentage value of bias of the simulated flows in relation to the observed
412 flows. The nearer to zero is this value, the better the model will represent reality, ie the smaller
413 the trend in the estimates. For values of $|P_{BIAS}|$ smaller than 10%, the model is considered very
414 good; between values of $10\% < |P_{BIAS}| < 15\%$, the model is good; $15\% < |P_{BIAS}| < 25\%$, the
415 model is satisfactory and $|P_{BIAS}| > 25\%$, the model is inadequate (MORIASI et al., 2007).

416

417 2.7. Result Analysis

418

419 After the model has been calibrated, the first analysis is the verification of the water
420 demand for REPLAN. Then, the flow observation point defined by the water management
421 policies is analysed to observe if during the period of study there can be the occurrence of
422 limitation of flow.

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424

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507

508 **APPENDIX**

509

510

511

Table 8 – Parameters of CO₂ mitigation technologies

Unit	Technology	Fuel (%)	Steam (%)	Electricity (%)	H2 Demand (%)	Investment (US\$/bbl/y)	O&M (US\$/y/bbl)	Penetration rate	Cost (US\$/GJ)
ADU	Reduce Stand-By Boiler Requirements		2%			0.48	-0.90	50%	-1.90
ADU	Heat storage reduction between ADU and VDU		3%			1.16	-0.42	50%	-0.47
ADU	Condensate recovery		0%	0%		0.02	0.00	90%	0.01
ADU	Reduction of boiler make-up water with condensate treatment		20%			8.76	0.08	50%	0.47
ADU	Addition of steam recycle with steam ejector at VDU		18%			31.39	0.11	80%	0.75
ADU	Integration of the gas processing unit with ADU		6%	1%		25.66	0.04	80%	1.87
ADU	Improvements in steam insulation maintenance		2%			3.86	0.03	50%	1.97
ADU	Steam fouling reduction		40%	13%		7.88	12.10	40%	2.45
ADU	Reduction of coke formation in ADU furnace passes	8%				8.55	13.14	60%	3.19
ADU	Installation of vacuum pumps to replace steam ejectors		57%			889.52	3.10	90%	3.31
ADU	Efficient burners/ control of air excess in the ADU	9%				89.62	0.81	50%	3.91
ADU	Installation of chillers at the top of the ADU column to reduce the thermal load of the capacitor			2%		0.43	0.00	40%	5.82
ADU	Installation of air preheaters in ADU ovens			1%		0.28	0.00	0%	9.84
ADU	Increased insulation of steam lines		18%	4%		84.89	0.76	0%	10.47
ADU	Revamp in the integration of heat in the ADU			1%		0.31	0.01	0%	11.44
ADU	Installation of efficient internals at VDU	3%		5%		48.05	0.43	0%	11.70
ADU	Reduction of air infiltration in the ADU (insulation)	2%				33.32	0.12	0%	11.72
FCC	Condensate recovery		1%			3.98	-1.39	50%	-0.47

FCC	Reduction of boiler make-up water with condensate treatment	5%		23.89	0.21	50%	0.47
FCC	Improvements in steam insulation maintenance	0%		12.83	0.12	50%	3.03
FCC	Revamp in FCC heat integration (low cost)		6%	69.38	1.32	40%	3.22
FCC	Revamp in FCC heat integration (high cost)		2%	64.17	1.22	0%	13.68
FCC	Replace steam drive with electrical drive	33%	-3%	1380.26	4.81	50%	4.11
FCC	Installation of regenerative tower - HRSR Regenerator	306%		27404.93	95.47	70%	5.14
FCC	Installation of CO-kiln in regenerative tower HRSR	68%		4871.47	16.97	40%	8.22
FCC	Increased insulation of steam lines	0%		0.07	0.00	0%	9.73
FCC	Installation of new FCC internals		4%	97.21	0.87	0%	10.53
FCC	Installation of top chillers in the FCC		1%	53.44	0.19	0%	15.05
DCU	Reduce Stand-By Boiler Requirements	-2%		3.39	-6.36	50%	-1.90
DCU	Steam recovery	-2%		7.96	-2.79	50%	-0.47
DCU	Reduction of boiler make-up water with condensate treatment	-13%		57.73	0.52	50%	0.47
DCU	Increased insulation of steam lines	0%		18.13	0.16	50%	4.28
DCU	Reduction of coke formation on DCU piping surfaces	14%	0%	178.01	273.47	60%	5.18
DCU	Efficient furnace installation/ air control	15%		1855.64	16.67	50%	6.35
DCU	Revamp in the DCU heat integration (low cost)	-33%	10%	2051.91	38.92	40%	7.97
DCU	Revamp in the DCU heat integration (high cost)	-10%	3%	878.94	16.67	0%	19.03
DCU	Installation of new DCU internals	0%	7%	79.59	0.72	0%	10.41
DCU	Installation of air preheaters in DCU ovens	9%		1144.58	10.28	0%	12.57
DCU	Installation of top chillers in the DCU	9%	6%	1698.70	5.92	0%	17.02
DCU	Revamp in the steam distribution	0%		20.17	0.18	0%	19.05
DCU	Reduction of air infiltration in the DCU (increased insulation)	3%		738.76	6.64	0%	24.06
CRU	Reduce Stand-By Boiler Requirements	0%		0.68	-1.27	50%	-1.90
CRU	Recover Blowdown Steam	0%		1.59	-0.56	50%	-0.47
CRU	Reduce hot rundown	0%		0.00	0.00	90%	0.00
CRU	Reduce Boiler Blowdown/Water Treatment	-4%		12.21	0.11	50%	0.47
CRU	Reduce Background Flaring			0.00	0.00	20%	0.86

CRU	Integrate GPU w/ISBL Units	-3%	0%	92.31	0.83	80%	1.97	
CRU	Improved Maintenance/Steam Lines & Traps	0%		1.75	1.20	50%	2.95	
CRU	Revamp CRU Heat Integation (lowcost)	2%	0%	258.39	0.45	40%	3.66	
CRU	Reduce Coking of CRU Tube Surfaces	1%		58.27	39.86	80%	4.76	
CRU	Revamp GPU Heat Integation	0%	0%	0.00	0.00	40%	5.22	
CRU	Efficient CRU Burners/Control X Air	3%		631.94	5.69	50%	5.83	
CRU	Increase Steam Line Insulation	-1%		29.55	0.56	0%	11.05	
CRU	Install CRU Furnace Air Pre-Heat	8%		1947.96	17.53	0%	11.54	
CRU	Install New CRU Internals	4%	1%	1009.16	9.08	0%	13.44	
CRU	Install New GPU Internals	0%	0%	0.01	0.00	0%	14.69	
CRU	Install CRU Overhead Chillers	4%	-1%	1210.62	4.22	0%	15.63	
CRU	Install GPU Overhead Chillers	0%	0%	0.01	0.00	0%	17.08	
CRU	Revamp CRU Heat Integation (highcost)	4%	1%	1367.00	2.36	0%	17.47	
CRU	Revamp Steam Distribution/Reduce Pressure Drop	-2%		102.90	1.96	0%	17.49	
CRU	Insulation/Reduce CRU Air Infiltration	3%		1240.53	23.57	0%	22.09	
HDS G	Reduce Stand-By Boiler Requirements	4%		1.14	-2.15	50%	-1.90	
HDS G	Recover Blowdown Steam	1%		0.67	-0.24	50%	-0.47	
HDS G	Install SRU Waste Heat Boiler	2%		0.01	0.00	90%	0.00	
HDS G	Install PSA to recover high-purity H2		0%	3%	0.00	0.00	80%	0.00
HDS G	Reduce Boiler Blowdown/Water Treatment	33%		19.66	0.18	50%	0.47	
HDS G	Integrate GPU w/ISBL Units	1%	0%	5.86	0.01	80%	1.97	
HDS G	Integrate AGR w/ISBL Units	15%	0%	119.26	0.21	80%	2.36	
HDS G	Increase AGR Solvent Concentration	36%	0%	11.39	17.52	50%	2.37	
HDS	Integrate SWS w/ISBL Units		0%	73.08	0.13	80%	2.95	

G								
HDS	Revamp HTU Heat Integation (lowcost)	36%	1%		130.80	2.49	40%	3.63
G								
HDS	Improved Maintenance/Steam Lines & Traps	1%			1.56	1.07	50%	3.90
G								
HDS	Replace Steam Drives w/Elec on Rec Compressors	64%	-1%		307.29	2.77	40%	4.52
G								
HDS	Reduce Coking of HTU Tube Surfaces	2%			10.87	16.72	50%	4.72
G								
HDS	Efficient HTU Burners/Control X Air	1%			93.59	0.84	50%	5.79
G								
HDS	Revamp GPU Heat Integation		0%		0.00	0.00	40%	6.15
G								
HDS	Improve catalysts to reduce H2 consumption		1%	24%	1015.36	9.14	0%	7.39
G								
HDS	Install HTU Furnace Air Pre-Heat	5%			288.51	2.60	0%	11.45
G								
HDS	Increase Steam Line Insulation	4%			33.00	0.30	0%	11.57
G								
HDS	Install New HTU Internals	9%	2%		653.24	5.88	0%	13.34
G								
HDS	Install New GPU Internals	0%			0.00	0.00	0%	14.57
G								
HDS	Install HTU Overhead Chillers		-3%		365.10	1.27	0%	15.51
G								
HDS	Install GPU Overhead Chillers		0%		0.00	0.00	0%	16.95
G								
HDS	Revamp HTU Heat Integation (highcost)	18%			187.44	3.56	0%	17.34
G								
HDS	Revamp Steam Distribution/Reduce Pressure Drop	4%			39.09	0.74	0%	17.36
G								
HDS	Insulation/Reduce HTU Air Infiltration	1%			172.08	0.60	0%	21.93
G								
HDT	Reduce Stand-By Boiler Requirements	2%			0.62	-1.18	50%	-1.90
D								
HDT	Recover Blowdown Steam	2%			1.71	-0.60	50%	-0.47

D								
HDT	Install SRU Waste Heat Boiler	1%			0.00	0.00	90%	0.00
D								
HDT	Install PSA to recover high-purity H2		0%	2%	0.00	0.00	80%	0.00
D								
HDT	Reduce Boiler Blowdown/Water Treatment	13%			11.01	0.10	50%	0.47
D								
HDT	Integrate GPU w/ISBL Units	1%	0%		8.21	0.07	80%	1.97
D								
HDT	Integrate AGR w/ISBL Units	4%	0%		46.10	0.08	80%	2.36
D								
HDT	Increase AGR Solvent Concentration	10%	0%		4.29	6.60	50%	2.37
D								
HDT	Revamp HTU Heat Integation (lowcost)	61%	1%		198.71	1.79	0%	3.67
D								
HDT	Improved Maintenance/Steam Lines & Traps	1%			1.30	0.89	50%	3.94
D								
HDT	Replace Steam Drives w/Elec on Rec Compressors	48%	0%		320.04	2.88	40%	4.52
D								
HDT	Reduce Coking of DTU Tube Surfaces	1%			11.53	17.74	50%	4.78
D								
HDT	Efficient DTU Burners/Control X Air	1%			100.51	0.90	50%	5.85
D								
HDT	Revamp GPU Heat Integration	0%	0%		0.00	0.00	40%	6.15
D								
HDT	Improve catalysts to reduce H2 consumption		0%	14%	634.83	5.71	0%	7.39
D								
HDT	Install DTU Furnace Air Pre-Heat	3%			307.47	2.77	0%	11.58
D								
HDT	Increase Steam Line Insulation		2%		24.37	0.22	0%	11.70
D								
HDT	Install New DTU Internals	5%		1%	540.80	4.87	0%	13.49
D								
HDT	Install New GPU Internals		0%	0%	0.00	0.00	0%	14.74
D								
HDT	Install DTU Overhead Chillers	3%		-2%	379.18	1.32	0%	15.69

D								
HDT	Install GPU Overhead Chillers		0%	0.00	0.00	0%	17.14	
D								
HDT	Revamp DTU Heat Integation (highcost)	13%	0%	198.98	3.78	0%	17.54	
D								
HDT	Revamp Steam Distribution/Reduce Pressure Drop	2%		34.64	0.66	0%	17.56	
D								
HDT	Insulation/Reduce DTU Air Infiltration	1%		190.59	0.67	0%	22.18	
D								
HDT	Reduce Stand-By Boiler Requirements	1%		0.67	-1.26	50%	-1.90	
Q								
HDT	Recover Blowdown Steam	1%		1.58	-0.55	50%	-0.47	
Q								
HDT	Install SRU Waste Heat Boiler	0%		0.00	0.00	90%	0.00	
Q								
HDT	Reduce Boiler Blowdown/Water Treatment	9%		12.72	0.24	50%	0.47	
Q								
HDT	Integrate GPU w/ISBL Units	0%	0%	3.45	0.01	80%	1.97	
Q								
HDT	Integrate AGR w/ISBL Units	3%	0%	61.99	0.11	80%	2.36	
Q								
HDT	Increase AGR Solvent Concentration	7%	0%	5.87	9.03	50%	2.37	
Q								
HDT	Revamp KTU Heat Integation (lowcost)	45%	1%	307.08	2.76	0%	4.25	
Q								
HDT	Replace Steam Drives w/Elec on Rec Compressors	49%	-17%	584.68	5.26	40%	4.52	
Q								
HDT	Improved Maintenance/Steam Lines & Traps	1%		1.61	1.10	50%	4.56	
Q								
HDT	Reduce Coking of KTU Tube Surfaces	1%		223.01	2.01	50%	5.53	
Q								
HDT	Revamp GPU Heat Integation	0%	0%	0.00	0.00	40%	6.15	
Q								
HDT	Efficient KTU Burners/Control X Air	1%		205.07	1.85	50%	6.78	
Q								
HDT	Improve catalysts to reduce H2 consumption		0%	4%	282.34	5.36	0%	7.39

Q									
HDT	Install KTU Furnace Air Pre-Heat	3%			630.93	5.68	0%	13.41	
Q									
HDT	Increase Steam Line Insulation		1%		22.77	0.20	0%	13.55	
Q									
HDT	Install New KTU Internals	3%		1%	787.41	7.09	0%	15.62	
Q									
HDT	Install New GPU Internals		0%	0%	0.00	0.00	0%	17.07	
Q									
HDT	Install KTU Overhead Chillers	3%		-2%	787.34	2.75	0%	18.17	
Q									
HDT	Revamp KTU Heat Integation (highcost)		14%	0%	443.66	3.99	0%	20.31	
Q									
HDT	Revamp Steam Distribution/Reduce P Drop		1%		34.16	0.31	0%	20.33	
Q									
HDT	Install GPU Overhead Chillers			0%	0.00	0.00	0%	20.95	
Q									
HDT	Insulation/Reduce KTU Air Infiltration	1%			367.92	6.99	0%	25.68	
Q									
HDT	Install PSA to recover high-purity H2			0%	2%	564.22	1.97	0%	32.55
Q									
HDT	Reduce Stand-By Boiler Requirements		1%		0.15	-0.28	50%	-1.90	
N									
HDT	Recover Blowdown Steam		1%		0.53	-0.19	50%	-0.47	
N									
HDT	Install SRU Waste Heat Boiler		0%		0.00	0.00	90%	0.00	
N									
HDT	Reduce Boiler Blowdown/Water Treatment		6%		3.19	0.06	50%	0.47	
N									
HDT	Integrate GPU w/ISBL Units		1%	0%	4.65	0.01	80%	1.97	
N									
HDT	Integrate AGR w/ISBL Units		1%	0%	5.57	0.01	80%	2.36	
N									
HDT	Increase AGR Solvent Concentration		2%	0%	0.57	0.87	50%	2.37	
N									
HDT	Revamp NTU Heat Integation (lowcost)		95%	0%	189.26	1.70	0%	3.53	

N								
HDT								
N	Improved Maintenance/Steam Lines & Traps	1%			2.86	0.03	50%	3.79
HDT								
N	Replace Steam Drives w/Elec on Rec Compressors	64%	-1%		57.49	39.32	40%	4.52
HDT								
N	Reduce Coking of NTU Tube Surfaces	3%			142.11	1.28	50%	4.59
HDT								
N	Efficient NTU Burners/Control X Air	2%			13.85	21.31	50%	5.62
HDT								
N	Revamp GPU Heat Integation	0%	0%		0.00	0.00	40%	6.15
HDT								
N	Improve catalyts to reduce H2 consumption		0%	5%	169.18	3.21	0%	7.39
HDT								
N	Increase Steam Line Insulation	1%			4.02	0.04	0%	10.65
HDT								
N	Install NTU Furnace Air Pre-Heat	7%			403.43	3.63	0%	11.13
HDT								
N	Install New NTU Internals	7%	0%		474.66	4.27	0%	12.96
HDT								
N	Install New GPU Internals	0%	0%		0.00	0.00	0%	14.16
HDT								
N	Install NTU Overhead Chillers	7%	-1%		498.93	1.74	0%	15.07
HDT								
N	Install GPU Overhead Chillers		0%		0.00	0.00	0%	16.47
HDT								
N	Revamp KTU Heat Integation (highcost)	29%	0%		273.57	2.46	0%	16.85
HDT								
N	Revamp Steam Distribution/Reduce P Drop	1%			6.37	0.06	0%	16.87
HDT								
N	Insulation/Reduce NTU Air Infiltration	2%			220.95	4.20	0%	21.30
HDT								
N	Install PSA to recover high-purity H2		0%	2%	342.31	1.19	0%	32.55
HDT								
I	Reduce Stand-By Boiler Requirements	2%			0.94	-1.76	50%	-1.90
HDT								
	Recover Blowdown Steam	2%			2.57	-0.90	50%	-0.47

I								
HDT	Install SRU Waste Heat Boiler	1%			0.00	0.00	90%	0.00
I								
HDT	Install PSA to recover high-purity H2		0%	1%	0.00	0.00	80%	0.00
I								
HDT	Reduce Boiler Blowdown/Water Treatment	16%			16.52	0.15	50%	0.47
I								
HDT	Integrate GPU w/ISBL Units	1%	0%		12.31	0.11	80%	1.97
I								
HDT	Integrate AGR w/ISBL Units	5%	0%		69.14	0.12	80%	2.36
I								
HDT	Increase AGR Solvent Concentration	12%	0%		6.43	9.89	50%	2.37
I								
HDT	Revamp HTU Heat Integation (lowcost)	73%	1%		298.07	2.68	0%	3.67
I								
HDT	Improved Maintenance/Steam Lines & Traps	1%			1.94	1.33	50%	3.94
I								
HDT	Replace Steam Drives w/Elec on Rec Compressors	57%	0%		480.06	4.32	40%	4.52
I								
HDT	Reduce Coking of DTU Tube Surfaces	2%			17.29	26.61	50%	4.78
I								
HDT	Efficient DTU Burners/Control X Air	1%			150.76	1.36	50%	5.85
I								
HDT	Revamp GPU Heat Integration		0%		0.00	0.00	40%	6.15
I								
HDT	Improve catalysts to reduce H2 consumption		0%	9%	952.24	8.57	0%	7.39
I								
HDT	Install DTU Furnace Air Pre-Heat	4%			461.21	4.15	0%	11.58
I								
HDT	Increase Steam Line Insulation		3%		36.55	0.33	0%	11.70
I								
HDT	Install New DTU Internals	5%		1%	811.20	7.30	0%	13.49
I								
HDT	Install New GPU Internals		0%	0%	0.00	0.00	0%	14.74
I								
HDT	Install DTU Overhead Chillers	3%		-2%	568.75	1.98	0%	15.69

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512 ADU – Atmospheric distillation unit; FCC – Fluid catalytic cracking; DCU – Delayed coking unit; CRU – Catalytic reforming unit; HDS G– Gasoline hydrodesulphurization unit; HDT D – Diesel
513 hydrotreatment unit; HDT Q – Kerosene hydrotreatment unit; HDT N – Naphtha hydrotreatment unit;; HDT I – Severe hydrotreatment unit

514 Source: Based on SCHAEFFER et al. (2015); MORROW III et al. (2013); SCHAEFFER et al. (2012); WORREL and GALITSKY (2005)

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Table 9 - Parameters of Carbon Capture technologies

Unit	Technology	BFW (t/tCO ₂)	CW (t/tCO ₂)	Steam (TJ/tCO ₂)	Electricity (kWh/tCO ₂)	Efficiency (%)	Abatement Potential (MtCO ₂)	Penetration Rate (%)	Investment Cost (US\$/tCO ₂)	O&M (US\$/tCO ₂ /year)	Abatement Cost (US\$/tCO ₂)
HGU	Capture HGU - SMR/MDEA	0.31	0.45	3.7	108.0	99.0	102.1	100	118.3	11.3	23.4
FCC	Capture FCC - Oxyfiring	0.0	0.15	0.0	210.0	59.0	174.4	100	285.0	45.0	74.1

517 HGU – Hydrogen generation unit; FCC – Fluid catalytic cracking

518 Source: Based on ROCHEDO et al. (2016); LINDSAY et al. (2009); MELLO et al. (2009)

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