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▶ To cite this version:

André Fourno, Christophe Grenier, Abdelhakim Benabderrahmane, Frédérick Delay. A continuum voxel approach to model flow in 3D fault networks: a new way to obtain up-scaled hydraulic conductivity tensors of grid cells. Journal of Hydrology, 2013, 493, pp.68-80. 10.1016/j.jhydrol.2013.04.010 . hal-01738266

HAL Id: hal-01738266 https://ifp.hal.science/hal-01738266

Submitted on 20 Mar 2018

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1 A continuum voxel approach to model flow in 3D fault networks: a new way to

2 obtain up-scaled hydraulic conductivity tensors of grid cells

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12

13 Abstract

14

15 Modelling transfers in fractured media remains a challenging task due to the complexity of the 16 system geometry, high contrasts and large uncertainties on flow and transport properties. In the 17 literature, fractures are classically modelled by equivalent properties or are explicitly represented. 18 The new Fracture Continuum Voxel Approach (FCVA), is a continuum approach partly able to 19 represent fracture as discrete objects; the geometry of each fracture is represented on a regular 20 meshing associated with a heterogeneous field of equivalent flow properties. The mesh-21 identification approach is presented for a regular grid. The derivation of equivalent voxel 22 parameters is developed for flow simulated with a Mixed Hybrid Finite Element (MHFE) 23 scheme. The FCVA is finally validated and qualified against some reference cases. The resulting 24 method investigates multi-scaled fracture networks: a small scale homogenized by classical 25 methods and large discrete objects as that handled in the present work.

26

27 Highlights

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• An accurate mapping of discrete fracture networks onto a regular mesh is obtained

- A full hydraulic conductivity tensor in each mesh is needed to model fracture fluxes
 - hydraulic properties preserve continuity of fluxes between neighbouring meshes

• Various hydraulic behaviour at fracture intersections can be modelled

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33 Keywords

Fractured media, Up-scaling, Equivalent hydraulic conductivity tensors, Mixed hybrid finiteelements.

36

37 **1 Introduction**

Within the research community involved in the studies of transfers in fractured media, special 38 39 emphasis is regularly put on experimentation and simulation of flow and transport in fractured 40 media for various reasons, e.g., prediction of oil production (Bourbiaux, 2010), improvement of 41 storage capacity for gas (Iding and Ringrose 2009; Ringrose et al., 2011), safety assessment of 42 nuclear waste repositories (Geotrap, 20002; Chapman and McCombie, 2003) etc. Several 43 constraints make this modelling work a challenging task: the geometrical complexity of the 44 system, the scarcity of available data, and the strong contrasts in parameter values between 45 mobile and immobile zones (Bear et al., 1993; Neuman, 2005).

46

47 Transfers in fractured media have already been subjected to intense modelling work (e.g., Bear et 48 al., 1993; Koudina et al., 1998; Berkowitz, 2002; Bogdanov et al., 2003; Karimi-Fard et al., 2004; 49 Adler et al., 2005). A large diversity of models exists, with differences on both the fracture 50 medium conceptualization and the way to represent physically and numerically the flow and 51 transport mechanisms. These differences make comparisons of the approaches a complex task 52 (Selroos et al., 2001). For spatially distributed models relying on Eulerian approaches to flow 53 and transport, the meshed representation of the fracture medium is the first difficulty to 54 overcome. The fracture network geometry can be explicitly accounted for or replaced by 55 equivalent properties mapped onto a regular or irregular (geological) grid mesh. It is then referred 56 to the so-called discrete and continuous approaches, respectively.

57 For discrete approaches, fractures are often modelled by means of planar objects (e.g., Cacas et 58 al., 1990; Dershowitz et al., 1991; Cvetkovic et al., 2004; Adler et al., 2005; Pichot et al., 2010; 59 de Dreuzy et al., 2012; Noetinger and Jarrige, 2012; for Discrete Fracture Network approaches) 60 or linear objects with the consequence of limiting flow to channels within fracture planes and at 61 fracture intersections (channel models or pipe network models, Dverstorp et al., 1992; Moreno 62 and Neretnieks, 1993; Tsang and Neretnieks, 1998; Ubertosi et al., 2007). Nevertheless, specific 63 meshing efforts are required which become cumbersome when a large number of fractures has to be represented, for instance with small scale fractures. Some attempts however based on corner-64 65 point grids and finite-volume approaches mixing two-dimensional and one-dimensional elements for fracture planes and fracture intersections, respectively are able to partly homogenize complex 66 67 fracture fields (Karimi-Fard et al., 2006).

68

69 On the other hand, the so-called continuous approaches are commonly used in petroleum 70 engineering and hydrology for simulating reservoirs especially when the latter are of sedimentary 71 type. As suggested by their name, the classical single continuum approaches consider a single 72 equation to cope with flow in both fractures and matrix. However, fractured rocks are often 73 depicted by two (or more) media with contrasted properties, for example, to separate the fracture 74 network from the matrix medium. The subsequent dual-continuum approaches (Barrenblatt et al. 75 1960; Warren and Root, 1963; Delay et al., 2007) deal with one equation of flow in each medium 76 and an additional term of transfer between the two media for closing the problem (see the 77 extended review of existing approaches in Berkowitz, 2002). According to the degree of 78 complexity introduced in the system, the resolution of flow can either be performed numerically 79 in both media, or the incidence of matrix on flow in fractures is handled by means of analytical 80 solutions (Grenier and Benet, 2002). Continuous approaches rely upon the definition of a Representative Elementary Volume (REV), defined for instance as the minimal block size for 81 82 which the mean hydraulic conductivity value stabilizes when increasing the size of the block 83 (Long et al., 1982). At the REV scale, it becomes possible to calculate equivalent hydraulic 84 properties that take into account the presence of fractures. Unfortunately, the REV does not always exist, as with the cases of poorly connected fracture networks (e.g., fracture network at 85 the percolation threshold) or networks of large faults with characteristic lengths of the same order 86 87 as the size of the investigated domain. The equivalent properties (e.g., hydraulic permeability for 88 flow resolution) may be obtained analytically (Oda, 1985, 1986; Lee et al., 1995; Pan et al., 89 2010) or numerically (Bourbiaux et al., 1998; Koudina et al., 1998; Delorme et al., 2008) and

allow an accurate modelling of the flow in the fracture medium even if the fracture networkgeometry is simplified.

92

93 The line of research conducive to the Fracture Continuum Voxel Approach (FCVA) is a kind of 94 combination between continuous and discrete approaches. Basically, it is grounded in the 95 mapping of a fracture network onto a regular grid for solving flow and transport with classical 96 numerical approaches. One might consider that mapping fractures results into unrealistic 97 representations of the medium and the topic could be debated for a long time. It is obvious that 98 mapping fractures onto regular grids belongs to homogenization techniques that discard pinpoint 99 accuracy and focus on the macroscopic behaviour of a system. The first advantage is the relative 100 ease with which the model can be manipulated in complex problems such as inversion of field 101 data. But as a matter of trade-off, one may also lose some elementary mechanisms while ignoring 102 whether or not they have some importance at large scale. On the other hand, some exhaustive 103 representations of fracture networks do not lose the elementary mechanisms but are cumbersome 104 in terms of computation costs. This feature makes them hard to invert and unsuited to operational 105 tasks as for instance evaluating uncertainty. Usually, the complete fracture field of an 106 underground reservoir is unknown and, even with fine representations of fracture fields; the latter 107 can be unrealistic, or at least very uncertain. Evaluating uncertainty can rely upon Monte-Carlo 108 simulations duplicating numerous networks but usually, accurate representations of fracture 109 networks do not lend themselves to this exercise because the meshing procedure is time 110 consuming.

111

112 When mapping fractures onto regular grids, it is obvious that the network representation is less 113 accurate. But duplicating networks for evaluating uncertainty does not result in cumbersome calculations. Iterative inversion procedures can be launched with reasonable computation costs. 114 115 Incidentally within this inversion framework, one may raise that field (experimental) data are 116 often uncertain and inaccurate in terms of spatial and temporal resolutions. These resolutions are 117 sufficient for the rough mapping of fractures whereas they do not yield good conditioning of 118 models based on the exhaustive representation of fractured media. In the end, our aim is to 119 provide a versatile tool able to treat various types of fracture networks whilst avoiding intense

meshing effort, and able to integrate explicit fractures as well as a single or dual porositybackground.

122

123 The fracture medium is investigated first to identify the main features that will be explicitly 124 represented (main fluid conductors at the scale of the studied block) whereas minor fractures, i.e., 125 fractures whose lengths are smaller than the size of the mesh elements, are homogenized and 126 associated with the matrix zones. Though a small fracture can be highly conductive, it will yield a 127 very permeable matrix mesh which is handled as a porous continuum. When mapping 128 (homogenizing) fractures onto a regular grid, one focuses actually on the capability of the 129 fractures to connect very distant points. A dense network of short fractures will in comparison 130 behave as a local patch of high conductivity. Even though the numerical model used below can 131 handle matrix with various properties, the matrix is here overlooked for the sake of simplicity. 132 The non-homogenised fractures are mapped onto a regular grid by applying direct equivalent 133 properties to meshes cross-cut by the fracture network and the final outcome is a heterogeneous 134 hydraulic conductivity field. Several published methods handle such systems with a regular grid 135 where heterogeneous specific hydrodynamic properties justify the difference between fractures 136 and matrix blocks. For instance, the fields of properties can be obtained from realizations of 137 stochastic processes derived from sites data (Tsang et al., 1996; Gomez-Hernandez et al., 2000) 138 or from analytical calculations based on geometrical considerations between the fractures and the 139 regular mesh (Tanaka et al., 1996; Svensson 2001a, 2001b; Langevin, 2003; Reeves et al., 2008; 140 Hirano et al., 2010). A major drawback associated with these approaches is that fractures are 141 represented in a smeared way, meaning that fracture apertures are in practice spread over several 142 juxtaposed grid cells, thus yielding numerical prints of fractures much wider than they should be. 143 In addition, the equivalent properties implemented are expressed as scalar values instead of 144 tensors (e.g., Oda, 1985, 1986), leading to imprecisions in the simulated fluxes within fracture 145 objects.

146

147 The present work develops a new way to obtain the hydraulic properties of a fracture network 148 mapped onto a regular mesh. The originality of this approach is grounded in a direct control of 149 fluxes and the use of equivalent hydraulic conductivity tensors. To underline the importance of 150 this point, a special focus will be put on the fact that a full tensor is needed to upscale fracture properties. Nevertheless, for computational time optimisation, the proposed equivalent hydraulic conductivity tensors are diagonal, which will appear in the sequel as a good assumption for subvertical or sub-horizontal fault networks.

154

155 Thanks to the use of tensors and an improved fracture representation, the mesh smearing is 156 limited and the precision of results increases. The numerical scheme considered (a Mixed Hybrid 157 Finite Element (MHFE) scheme) is well known for ensuring flux conservation between edges (or 158 facets) of neighbouring elements (Younes et al., 2010). Equivalent properties are calculated for 159 this specific MHFE scheme whilst preserving its properties of flux conservation. As shown later, 160 the precision in the results is mainly related to the considered mesh size and can be easily 161 controlled. This feature allows us to perform either a "reference" calculation by using a very 162 refined grid or duplicate similar calculations on coarser grids. Simulations can serve as well to 163 simplify the fracture network by removing fractures weakly impacting the system (as was proposed by Grenier et al., 2009). 164

165

166 The FCVA is here presented for the reductionist case of flow limited to fractures in a three-167 dimensional network. The fractures discussed in the sequel are planar objects but could also be 168 warped ones. Provided that warped fractures can be approximated by pieces of planar objects 169 juxtaposed by common vertices, the mapping procedure is similar to that presented for planar 170 fractures. The numerical code was implemented and tested in Cast3M (2009), a simulation 171 platform developed in mixed hybrid finite elements by the CEA (Commissariat à l'Energie 172 Atomique). In the sequel, the grid element identification procedure for a single 3D fracture is 173 described in Section 2. The equivalent permeability tensor is derived in Section 3. Finally, the 174 approach is validated and qualified against some basic cases in Section 4.

175

176 **2 Voxel fracture meshing and associated flow connectivity**

The basic meshing of the fractured medium is a three-dimensional regular grid. The mapping of fractures onto the grid makes the fractures to look like stairs. Figure 1 depicts a fracture network mapped onto a regular cubic gridding (see as well Langevin, 2003; Hirano et al., 2010). The aim is to represent each fracture with a minimum of cells given that two neighbouring cells should have a common facet. For instance, the aperture of each fracture should be limited to one cell and 182 fracture intersections limited to one irregular row of connecting cells. This requires an algorithm

- 183 where the contacts between each step of the stairs representing a fracture must be designed taking
- 184 into account that two adjacent steps should keep contact by only two lines of cells.
- 185



187 Fig. 1. An example of voxel grid for a network of planar fractures

- 190 > Calculate the distance between the corners of cells of the regular grid and the fracture
 191 plane.
- 192 > Mark the grid elements that have corners at both a positive and a negative distance from
 193 the fracture plane as elements crossed by the fracture plane.
- 194 > Suppress elements as indicated below to obtain the correct connectivity of the adjacent
 195 steps.
- 196 We note that the three points evoked above also apply to pieces of planar objects approximating
- 197 the shape of a warped fracture. The key point of suppressing some cells is illustrated in Figure 2.
- 198

186

¹⁸⁹ The geometrical procedure to identify the fracture cells can be summarized as follows:



Fig. 2. Examples of step-shaped sets of meshes discretizing a fracture. a: steps that do not respect
the optimal contact between stairs; b: steps with optimal contact (only two rows of cells in
contact) between stairs.

203 The portion of fracture in Figure 2a is comprised of two steps and the connection between these 204 steps is assured by four lines of cubic elements. The green cubes of the top step are located at the 205 top of cubes which are not the border cubes of the bottom step. These green cubes of the top step 206 have to be suppressed while the white ones of the bottom step are preserved. Finally, the correct 207 fracture representation is given in Figure 2b and, by duplicating this elimination procedure over 208 all steps, the fracture is represented with the minimum number of elements connected by their 209 facets. The procedure is applied for each fracture of the network and the fracture intersections are 210 simply obtained as the resulting intersections of the fracture geometries.

211

212 In addition, within each fracture meshing, groups of cells have to be identified in view of the 213 equivalent approach presented below: the cells constituting the fractures are separated in two 214 distinct subsets. The first set, noted S set for "simple set", is that enclosing cells whose neighbouring cells are all in the same plane. The second set, noted C (C stands for complex), 215 216 regroups two lines of N cells (denoted A and B in Figure 3). The property of a C set is to connect 217 two groups of S sets (i.e., C is the vertical part of a stair connecting two horizontal steps). For 218 both sets S and C, there are no fluxes through the top and bottom facets of cells. Two angles, θ 219 and β , are used to define the fracture plane orientation. θ (respectively, β) is the angle between the horizontal plane *x*-*y* and the fracture intersection (trace) on the vertical plane *x*-*z* (respectively, *y*-*z*). It must be noted that the configuration presented in Figure 3 occurs for $45^{\circ} \ge \beta > \theta \ge 0^{\circ}$. In the sequel, this configuration will be studied as the example of reference and the procedure dealing with other orientations will be then derived.



Fig. 3. Distinguishing between *S* and *C* set of cells according to the fracture location in the cells. a: Simple (*S*) set encloses neighbouring cells all in the same horizontal plane; b: Complex (*C*) set regrouping two lines of cells connecting two *S* sets.

227 **3 Equivalent hydraulic conductivity computation**

The approach for the computation of equivalent hydraulic conductivities is provided for the case of a single fracture. Section 3.1 presents the basic idea supporting the method as well as basic equations. The properties of the MHFE scheme essential for the approach are presented in Section 3.2. In Section 3.3, the equivalent properties are derived for $45^{\circ} \ge \beta > \theta \ge 0^{\circ}$ and extended to all geometries.

233 **3-1** Introduction - a single fracture

As stated previously, the meshing of a fracture network is built using a regular grid. The geometry associated with a single fracture appears as a stair-shaped set of parallelepiped elements (see Figure 1 and Langevin, 2003; Hirano et al., 2010).

When modelling flow, the main concern is to evaluate the fluid flux occurring within the geometrical representation of the fracture and compare it with a "reference", the latter being 239 analytical or stemming from a calculation over a very refined grid. For the problem of up-scaling 240 hydraulic conductivity in a discretized fracture network as that discussed above, the question of 241 fluid flux has to be handled at the scale of each grid cell. In the literature, a scalar value of 242 hydraulic conductivity per grid cell is often used (Svensson, 2001a; Hirano et al. 2010). This 243 choice may guarantee the flux conservation in 2-D cases (Fourno et al., 2007). Concerning 3-D 244 problems, this section will show why the use of a scalar value can be a flawed assumption and 245 how to upscale fracture hydraulic conductivity to correctly model the flux of a single fracture intersecting a single rectangular cuboid (see Figure 4, with L_x , L_y , L_z [L] the edge lengths of 246 247 the cuboid).

248



Fig. 4. A cell enclosing a portion of fracture (4a) and the equivalent hydraulic conductivity tensor
associated with that cell (4b).

The fracture is modelled as a porous medium with a hydraulic conductivity, k [LT⁻¹] and an aperture a [L]. The fracture orientation is characterized by the angles θ and β . The fracture is not supposed to cross the top and bottom facets. The equations governing steady-state flow are classically the Darcy's equation (Eq. 1) and the mass balance equation (Eq. 2):

$$256 \qquad \vec{q} = -k.\nabla h \tag{1}$$

$$257 \qquad \vec{\nabla}.\vec{q} = s \tag{2}$$

in which \vec{q} [LT⁻¹] is the Darcy velocity, *h* [L] the head, *s* a source term [T⁻¹].

259 Considering the fracture position and orientation (Figure 4a), the boundary conditions of the

rectangular cuboid intercepted by the fracture are of no flow type at the top and the bottom facets.

261 Using a tensor notation, the classical analytical expression for the fluid flux, Q, is written as:

$$262 \qquad Q = \frac{ka}{c_n} \begin{bmatrix} \frac{\cos\theta}{\cos\beta} L_{\gamma} & \sin\beta.\sin\theta.L_{\gamma} & 0\\ \sin\beta.\sin\theta.L_{\chi} & \frac{\cos\beta}{\cos\theta} L_{\chi} & 0\\ 0 & 0 & 0 \end{bmatrix} \vec{\nabla}h$$
(3)

263 with $c_n = (1 - \sin^2 \beta \sin^2 \theta)^{\frac{1}{2}}$.

For no flow boundary conditions at the top and bottom facets of the intersected parallelepiped element, the equivalent hydraulic conductivity tensor, \overline{K} , can be calculated as:

$$266 \qquad \overline{\overline{K}} = \frac{ka}{c_n L_z} \begin{bmatrix} \frac{\cos\theta}{\cos\beta} & \sin\beta.\sin\theta & 0\\ \sin\beta.\sin\theta & \frac{\cos\beta}{\cos\theta} & 0\\ 0 & 0 & 0 \end{bmatrix}$$
(4)

Equation 4 demonstrates that the equivalent hydraulic conductivity has to be a tensor to correctly model the fracture flux magnitude and direction. Notably, when the opposite grid cell facets are not identical (as for unstructured meshing using the corner point grid technique) the hydraulic conductivity tensor is not symmetric.

271

272 In this paper, we propose to model fractures (and by extension fracture networks) using 273 simplified diagonal hydraulic conductivity tensors. Considering that the off-diagonal elements of 274 the tensor (Equation 4) depend on the product $\sin\beta\sin\theta$, the simplification into a diagonal 275 tensor will be valid for values of β and/or θ close to zero. This geometrical configuration 276 corresponds to sub-horizontal and sub-vertical fault networks. Equation 4 is obtained for specific 277 flow boundary conditions of no-flow at the top and bottom facets; which contradicts the above 278 statement of inferring diagonal tensors for sub-vertical fractures. Expressions similar to (4) can 279 be obtained by permutations between directions x, y, and z so that to deal with sub-vertical 280 fractures and flowing boundary conditions on the top and bottom facets (see Table 1 at the end of 281 Section 3). At this stage of the paper, we focus the analysis on fractures honouring the condition $45^{\circ} \ge \beta > \theta \ge 0^{\circ}$ and decomposed into S or C subsets of cells (see Section 2). The equivalent 282

permeability tensor is computed analytically thanks to MHFE properties (Section 3.2) for *S* and *C*groups of cells (Section 3.3).

285 **3-2** Flow governing equation and MHFE scheme

The purpose of the present section is to provide the reader with some basic knowledge about the MHFE scheme. In particular, through the introduction of additional unknowns termed head traces, the water mass conservation principle can be formally established at the scale of a single cell or of a group of cells. This property is fundamental for the FCVA presented here and leads to the equivalent properties derived in the next Section. As previously introduced, our approach is based on diagonal hydraulic conductivity tensors. The steady-state flow equations (1) and (2) are rewritten in the form:

$$293 \qquad \vec{q} = -\vec{K}^{\text{set}} \vec{\nabla} h \tag{5}$$

294
$$\vec{\nabla}.\vec{q} = s$$

with \overline{K}^{set} the diagonal hydraulic conductivity tensor [LT⁻¹]. In the following, the index "^{set}" will be replaced by ^S or ^C according to the S or C set of cells considered.

(6)

The goal is to relate equivalent hydraulic conductivity tensor components (K_x^{set} , K_y^{set} , K_z^{set}) to the 298 299 fracture hydraulic conductivity, k. The numerical scheme used relies on mixed finite elements 300 that preserve by construction the fluid fluxes normal to the interfaces between adjacent elements. To this end, specific variables are manipulated including the mean head \overline{h}^{E} over an element E 301 and the mean heads (also called traces) Th_i^E over the facet *i* of the element *E*. In the end, the 302 elementary scheme involves 13 unknowns for a cubic element, i.e., 6 fluxes Q_i , 6 head traces Th_i 303 and the mean head \overline{h}^{E} . Flow is calculated by handling the head traces as principal variables with 304 the following form for fluxes and mean head (Mose et al., 1994; Dabbene et al., 1998; Bernard-305 Michel et al., 2004): 306

308
$$Q_i^E = \overline{h}_E \sum_j (M^{-1})_{ij}^E - \sum_j (M^{-1})_{ij}^E .(Th^{-1})_j^E \quad with \quad i = 1..6$$
 (7)

$$309 \qquad \overline{h}_E = \frac{\sum_i \alpha_i^E T h_i}{\sum_i \alpha_i^E} \tag{8}$$

310 with $\alpha_i^E = \sum_j (M^{-1})_{ij}^E$, $M_{ij}^E = \int_E (\vec{w}_i)^t (\overline{\vec{K}}^{set})^{-1} (\vec{w}_j) dE$, M^{-1} the inverse matrix of M and \vec{w}_i 311 defined as $\int_{F_j} \vec{w}_i . \vec{n} dF_j = \delta_{ij}$. Considering cubic elements (Δ^3) and diagonal hydraulic 312 conductivity tensors, $\overline{\vec{K}}^{set}$, the hybrid mass matrix M^E writes: 313

$$314 \qquad M^{E} = \frac{1}{6\Delta} \begin{bmatrix} \frac{2}{K_{z}^{set}} & \frac{-1}{K_{z}^{set}} & 0 & 0 & 0 & 0\\ \frac{-1}{K_{z}^{set}} & \frac{2}{K_{z}^{set}} & 0 & 0 & 0 & 0\\ 0 & 0 & \frac{2}{K_{y}^{set}} & 0 & \frac{-1}{K_{y}^{set}} & 0\\ 0 & 0 & 0 & \frac{2}{K_{x}^{set}} & 0 & \frac{-1}{K_{x}^{set}}\\ 0 & 0 & \frac{-1}{K_{y}^{set}} & 0 & \frac{2}{K_{y}^{set}} & 0\\ 0 & 0 & 0 & \frac{-1}{K_{x}^{set}} & 0 & \frac{2}{K_{x}^{set}} \end{bmatrix}$$
(9)

315

316 Equation (7) can be easily written as

$$317 \qquad \overline{Q} = \overline{\overline{B}}_K \overline{T}_h \tag{10}$$

318 in which $\overline{\overline{B}}_{\kappa}$ depends on values of fracture hydraulic conductivity.

319

Thanks to Equation (10), it is possible to associate numerical fluxes for a cubic discretization to an analytical flux whatever the head gradient. This means we are able to propose equivalent hydraulic conductivity tensors for S and C set of cells to obtain the exact flow occurring in a fracture.

324 **3-3** Expression of fluxes with MHFE and derivation of equivalent hydraulic conductivities

By accounting for both the geometry of the modelled fractures and the MHFE numerical 325 formalism, an analytical expression of the fluxes in each cell as a function of head gradients is 326 327 obtained. Mass balance, head, head gradient and velocity are estimated within the element on the 328 basis of the traces of heads along the facets of the element. Given the geometrical considerations 329 reported in Figure 2, each element of the grid has a limited connectivity with its neighbours. This point is used to obtain the analytical expression of the MHFE fluxes. As mentioned earlier, the 330 331 equivalent properties are computed for two types of cell groups for which no flow occurs through 332 their top and bottom facets.

333 3-3-1 The S set of cells

In the case of cells from the *S* set (see Figures 3a and 4b), equations of MHFE fluxes are easy to obtain. With reference to facet notations reported in Figure 5, it can be noted that along the *x* direction, the unknown is $Q_x^s = Q_4^s = -Q_6^s$. By using Equation (10), it comes:

337
$$Q_x^s = -K_x^s \cdot \Delta \Delta h_x \text{ with } \Delta h_x = Th_4 - Th_6$$
(11)

Along the *y* direction, $Q_y^s = Q_5^s = -Q_3^s$ can be written as:

339
$$Q_y^s = -K_y^s \Delta \Delta h_y \text{ with } \Delta h_y = Th_3 - Th_5$$
(12)

For the set *S* of cells, the following equivalent hydraulic conductivity tensor (\overline{K}^{s}) is obtained by flux conservation (see relations 3, 11 and 12):

$$342 \qquad \overline{\overline{K}}^{s} = \begin{bmatrix} K_{x}^{s} & 0 & 0\\ 0 & K_{y}^{s} & 0\\ 0 & 0 & K_{z}^{s} \end{bmatrix} \qquad \begin{array}{c} K_{x}^{s} = \frac{a}{\Delta} \frac{\cos\theta}{c_{n}\cos\beta}k\\ \text{with} \\ K_{y}^{s} = \frac{a}{\Delta} \frac{\cos\beta}{c_{n}\cos\theta}k \end{array}$$
(13)

343 Notably, K_z^s is not defined and can be set up at any value, for instance that of K_y^s

344 3-3-2 The C set of cells

The case of cells from the *C* set is trickier (Figures 3b and 5b). We denote by *A* and *B* the top and bottom lines of cells, respectively; the element A_i (respectively B_i) is the ith element of line *A* (respectively, line *B*) and Q_j^{Ai} is the flux through the facet *j* of the cell A_i (see Figure 5a for facet numbering).



Fig. 5. a: facets numbering of a cubic mesh for flux calculations; b: fracture location in a set of 2*N* cells belonging to a complex (*C*) set of cells; c: two cells of a *C* set of cells (see Fig. 5b) with their local boundary conditions.

353

354	The following	properties	characterize	the elements	of the set <i>C</i> :
554	The following	properties	characterize	the cicilients	of the set C.

• Boundary conditions :

356
$$\begin{array}{l}
Q_1^{A_i} = Q_2^{B_i} = 0 \quad i = 0...N \\
Q_6^{A_N} = Q_4^{B_0} = 0
\end{array}$$
(14)

• Continuity conditions:

358
$$\begin{array}{l}
Q_6^{A_i} = Q_4^{A_{i+1}} \\
Q_6^{B_i} = Q_4^{B_{i+1}}
\end{array}$$
(15)

• Geometrical conditions

360 *N*, the number of cells in a line of a *C* set, may be evaluated as a function of θ and β from the 361 fracture position inside the *C* set (Figure 5b). We consider the intersections (traces) of the 362 fracture with facet 4 of the first column of cells and facet 6 of the last (N^{th}) column of cells. We 363 also assume that the two traces of fracture touch the horizontal plane separating the two lines of *C* 364 cells, at the edge between facet 4 – facet 5 for the first column and the edge between facet 6 – 365 facet 3 for the last column (see Figure 5). By denoting *dz* the variation of elevation of the traces 366 on facets 4 and 6 mentioned above we note

367
$$|\tan\beta| = \frac{dz}{\Delta}$$
, $|\tan\theta| = \frac{dz}{N\Delta} \Rightarrow N = \frac{|\tan\beta|}{|\tan\theta|}$ (16)

It is obvious that the evaluation of *N* is an approximation because all the triplets (θ, β, Δ) do not allow an exact positioning of the fracture traces as assumed above. Usually, one may miss or add one cell compared with the real number of cells in a *C* set. Another observation is helpful. Considering the facet 6 of the first column (column *F* in Figure 5b) and the facet 4 of the last one (column *L* in Figure 5b), one can notice that the length *L*6 and *L*4 are equal yielding

373
$$Q_4^{A_N} = -Q_6^{B_0}$$
; $Q_4^{B_N} = -Q_6^{A_0}$ (17)

374

The final relation deduced from geometrical observations is that describing how the flux crosses the last column (and by symmetry the first column too). Considering the facet 4 of the last column and the line *A*, the height variation of the fracture trace equals $dz = \Delta \tan \theta$. On this facet (facet 4, line *A*) the length of the fracture trace equals $L_4^A = \frac{dz}{\sin \beta}$ while the total length on the

379 facet 4 (line *A* plus line *B*) is
$$L = \frac{\Delta}{\cos\beta}$$
. Knowing that $Q_4^{A_N} = Q_x^C L_4^A / L$, we obtain:

$$380 \qquad Q_4^{A_N} = \frac{\tan\theta}{\tan\beta} \cdot Q_x^C \tag{18}$$

381 A scalar value
$$U = \left| \frac{\tan \theta}{\tan \beta} \right|$$
 is introduced to simplify the previous relation $Q_4^{A_N} = U \cdot Q_x^C$.
382

With the above conditions, we try now to express the flux in *C* set cells along the *x* and *y* directions Q_x^C , Q_y^C as functions of Δ , θ and β . Two directions of head gradients are applied onto the former systems to derive the associated flux along the same directions.

386 - MHFE flux along the x direction

387 Knowing that the hydraulic conductivity tensor of each element is diagonal, the following388 conditions can be expressed:

$$Q_{3}^{A_{i}} = Q_{3}^{B_{i}} = 0 \quad i = 0...N$$

$$389 \quad Q_{5}^{A_{i}} = Q_{5}^{B_{i}} = 0 \quad i = 0...N$$

$$Q_{4}^{A_{0}} = -Q_{6}^{B_{N}} = Q_{x}^{C}$$
(19)

390 with Q_x^C the flux of *C* set along the *x* direction. Using the boundary, flow and geometrical 391 conditions and Equation (10), the flow Q_x^C can be finally expressed as:

392
$$Q_{x}^{C} = -\frac{2\Delta K_{x}^{C} K_{z}^{C}}{\left(N+1-\frac{2U}{3}\right) K_{z}^{C} + \frac{4U}{3} K_{x}^{C}} \Delta h_{x}$$
(20)

393

394 Using the *N* and *U* values previously determined the final expression is obtained:395

$$Q_x^C = -\frac{2\Delta K_x^C K_z^C}{\left(\left|\frac{\tan\beta}{\tan\theta}\right| + 1 - \frac{2\left|\frac{\tan\theta}{\tan\beta}\right|}{3}\right)}K_z^C + \frac{4\left|\frac{\tan\theta}{\tan\beta}\right|}{3}K_x^C}\Delta h_x$$
(21)

397 - MHFE flux along the y direction

In the whole set *C* of cells, all the columns play the same role which allows simplifying the identification of fluxes along the *y* direction to the flux along a single column (Figure 5c). We note \tilde{Q}_y^C the flux of a *C* set column along the *y* direction.

401 The flow boundary conditions are:

402
$$Q_4^A = Q_4^B = 0$$
; $Q_6^A = Q_6^B = 0$; $Q_5^B = -Q_3^A = \tilde{Q}_y^C$ (22)

403 \tilde{Q}_y^C is easily obtained by solving the following equations:

$$404 \qquad \begin{cases} Q_{5}^{B} - Q_{3}^{A} = 2Q_{y}^{C} \\ Q_{2}^{B} - Q_{1}^{A} = 0 \\ Q_{3}^{B} - Q_{5}^{A} = 0 \\ Q_{4}^{B} - Q_{6}^{A} = 0 \end{cases} \implies \tilde{Q}_{y}^{C} = -\frac{3}{2} \Delta \frac{K_{y}^{C} K_{z}^{C}}{K_{y}^{C} + K_{z}^{C}} \Delta h_{y}$$
(23)

405 For *N* columns of cells with $N = |\tan \beta / \tan \theta|$, the total flux Q_y^c along the *y* direction writes 406 obviously:

407
$$Q_{y}^{C} = \left| \frac{\tan \beta}{\tan \theta} \right| \tilde{Q}_{y}^{C}$$
(24)

408 - Derivation of equivalent hydraulic conductivities

409 Considering the relations, 3, 21, 23 and 24, the equivalent hydraulic conductivity tensor of C set 410 cells can be written as:

411
$$\overline{K}^{C} = \begin{bmatrix} K_{x}^{C} & 0 & 0\\ 0 & K_{y}^{C} & 0\\ 0 & 0 & K_{z}^{C} \end{bmatrix} \quad with \quad 2\frac{K_{x}^{C}K_{z}^{C}}{\left(1+N-\frac{2}{3}U\right)K_{z}^{C}+\frac{4}{3}UK_{x}^{C}} = \frac{a}{\Delta N}\frac{\cos\theta}{c_{n}\cos\beta}k$$

$$\frac{3}{2}N\frac{K_{y}^{C}K_{z}^{C}}{K_{y}^{C}+K_{z}^{C}} = \frac{a}{\Delta}\frac{\cos\beta}{c_{n}\cos\theta}k$$
(25)

412 With the assumption $K_z^C = K_y^C$, the following expressions are obtained:

413

$$K_{x}^{C} = \frac{a}{\Delta} \frac{\cos\theta}{c_{n} \cos\beta} \frac{\left(1 + \left|\frac{\tan\theta}{\tan\beta}\right| - \frac{2}{3} \left(\frac{\tan\theta}{\tan\beta}\right)^{2}\right)}{\left(2 - \frac{\sin^{2}\theta}{\sin^{2}\beta}\right)}k$$

$$K_{y}^{C} = \frac{4}{3} \frac{a}{\Delta} \frac{\cos\beta}{c_{n} \cos\theta}k$$
(26)

414 It is interesting to note that:

416
$$\blacktriangleright$$
 for the case $\beta = \theta = 0$, there are obviously only cells of S type and the classical

417 correction $\frac{a}{\Delta}$ is obtained,

418
$$\succ$$
 for $\beta = \theta$, by symmetry $K_x^s = K_y^s$ and $K_x^c = K_y^c$.

 \triangleright

420 The equivalent tensors can also be obtained for other fracture orientations by means of geometrical permutation

421 between the *x*, *y* and *z* directions so that the former results are extended to all configurations. Considering the vector

422 normal to the fracture plane, it is possible to switch the values of the equivalent tensor (by also changing the basis

- 423 vector) and determine the values of θ and β with $45^\circ \ge \beta > \theta \ge 0^\circ$. The results are summed up in Table 1 in
- 424 terms of equivalent tensor \overline{K}^{set} .

	Configuration	resulting tensor	configuration case
1	θ	$\overline{\overline{K}}^{set} = \begin{bmatrix} K_x^{set} & 0 & 0 \\ 0 & K_y^{set} & 0 \\ 0 & 0 & K_z^{set} \end{bmatrix}$	$n_{\max} = n_z \& n_{\min} = n_y$ $\beta = \arctan(\frac{n_x}{n_z})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_y}{n_z})$
2	θ	$\overline{\overline{K}}_{x}^{set} = \begin{bmatrix} K_{y}^{set} & 0 & 0 \\ 0 & K_{x}^{set} & 0 \\ 0 & 0 & K_{z}^{set} \end{bmatrix}$	$n_{\max} = n_z \& n_{\min} = n_x$ $\beta = \arctan(\frac{n_y}{n_z})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_x}{n_z})$
3	β	$\overline{\overline{K}}^{set} = \begin{bmatrix} K_x^{set} & 0 & 0 \\ 0 & K_z^{set} & 0 \\ 0 & 0 & K_y^{set} \end{bmatrix}$	$n_{\max} = n_y \& n_{\min} = n_z$ $\beta = \arctan(\frac{n_x}{n_y})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_z}{n_y})$
4	B	$\overline{\overline{K}}^{set} = \begin{bmatrix} K_y^{set} & 0 & 0\\ 0 & K_z^{set} & 0\\ 0 & 0 & K_x^{set} \end{bmatrix}$	$n_{\max} = n_y \& n_{\min} = n_x$ $\beta = \arctan(\frac{n_z}{n_y})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_x}{n_y})$
5	θβ	$\overline{\overline{K}}^{set} = \begin{bmatrix} K_z^{set} & 0 & 0\\ 0 & K_x^{set} & 0\\ 0 & 0 & K_y^{set} \end{bmatrix}$	$n_{\max} = n_x \& n_{\min} = n_z$ $\beta = \arctan(\frac{n_y}{n_x})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_z}{n_x})$
6	B	$\overline{\overline{K}}_{set} = \begin{bmatrix} K_{z}^{set} & 0 & 0\\ 0 & K_{y}^{set} & 0\\ 0 & 0 & K_{x}^{set} \end{bmatrix}$	$n_{\max} = n_x \& n_{\min} = n_y$ $\beta = \arctan(\frac{n_z}{n_x})$ $\Rightarrow \qquad \theta = \arctan(\frac{n_y}{n_x})$

Table 1. How to correctly orientate the equivalent tensor according to the fracture orientation. θ (respectively, β) is the angle between the horizontal plane *x*-*y* and the fracture intersection (trace) on the vertical plane *x*-*z* (respectively, *y*-*z*)

428 **4 Evaluation of equivalent properties**

The FCVA is now tested against analytical results for flow: 1- in a single fracture with various dips and strikes, and 2- in regular fracture networks. As already mentioned, the equivalent (full) tensor is diagonal. The approximation is strictly valid for sub-vertical or sub-horizontal fractures. The non-diagonal components increase as a function of $\sin\beta\sin\theta$. For all configurations, the hydraulic conductivity of the fracture(s) is 3.8×10^{-8} m.s⁻¹ (= 4 darcys), the fracture aperture is 2.10^{-2} m. In Section 4.2, the case of fracture networks is considered with emphasis on the specific issue of fracture intersections.

436 **4-1** Sensitivity to the orientation for flow in a single fracture

The fracture crosses the regular grid from one side to the opposite side. Different dip and strike configurations are tested. For each mesh direction, i = x, y, z, the breakthrough flux, Q_{num}^{i} is calculated using the MHFE voxel approach. An analytical expression of this flux Q_{ana}^{i} is also obtained considering Equation (3) and for which L_x , L_y , L_z are now the medium lengths. For each fracture orientation, both analytical and numerical up-scaled hydraulic conductivity tensors are derived from fluxes. The diagonalized "numerical" tensor $K_n^{ups,num}$ resulting from the FCVA is compared with the analytical one $K_n^{ups,ana}$ and a relative error is defined as the ratio

444 $\frac{\left|K_{n}^{ups,num} - K_{n}^{ups,num}\right|}{K_{n}^{ups,ana}}$ with the index *n* referring to the minimal, intermediate and maximal value of

the tensor components. Table 2 summarizes the results for the different fracture orientations.

- 447
- 448
- 449
- 450
- 451
- 452
- 453

Error on $\begin{bmatrix} k_{\min} \\ k_{\inf} \\ k_{\max} \end{bmatrix}$ (%)	θ(•)			
β,(°)	0.	0. 0. 0. 0. 0.	15. 0. 0.6 0.	$ \begin{bmatrix} 0.\\ 2.8\\ 0. \end{bmatrix} $	
	10.	$\begin{bmatrix} 0.\\ 0.2\\ 0. \end{bmatrix}$	$\begin{bmatrix} 0.\\ 1.1\\ 8.7 \end{bmatrix}$	$\begin{bmatrix} 0.\\5.1\\4.4 \end{bmatrix}$	0. 15.2 3.7
	20.	$\begin{bmatrix} 0.\\ 1.6\\ 0. \end{bmatrix}$	0. 1.9 11.	0. 8.5 9.2	$\begin{bmatrix} 0.\\24.2\\13.9 \end{bmatrix}$
	30.	$\begin{bmatrix} 0.\\ 1.0\\ 0. \end{bmatrix}$	0. 7.2 5.9	$\begin{bmatrix} 0.\\ 12.6\\ 20.3 \end{bmatrix}$	0. 22.4 11.9

454 Table 2. Errors on equivalent tensor of hydraulic conductivity between FCVA and analytical 455 values for the cases of a single fracture with different orientations. θ (respectively, β) is the angle 456 between the horizontal plane *x*-*y* and the fracture intersection (trace) on the vertical plane *x*-*z* 457 (respectively, *y*-*z*)

458

459 Four points can be extracted from Table 2.

460 1. For $\theta = 0$ and $\beta = 0$, the local hydraulic conductivity of the fracture is simply corrected

461 by the ratio $\frac{a}{\Lambda}$ and exact fluxes are obtained by construction (i.e., null error in Table 2).

- 462 2. Equation 4 shows that for the values $(\theta = 0 \text{ and } \beta \neq 0)$ or $(\theta \neq 0 \text{ and } \beta = 0)$ the 463 analytical hydraulic conductivity tensor is diagonal as is also the case for the numerical 464 tensors of the *S* and *C* cell sets of the FCVA. The consequence is that for configurations 465 in which one of the angles θ or β is null, the flux directions are perfectly modelled and 466 errors are of only a few percent.
- 467 3. For other fracture orientations, precision depends on the value of $\sin\beta\sin\theta$ (see Eq (3)).
- 468 When considering diagonal hydraulic conductivity tensor in the voxel approach, the non-

469 diagonal flux values (Eq (2)) are supposed to be negligible. This is not always the case 470 and the larger the value of $\sin\beta\sin\theta$, the larger the errors become.

471

This test case confirms that the equivalent properties are exact for fractures aligned with a main grid direction. The error increases when fracture orientations deviate from such conditions. The maximum error is less than 30%. For a real case study, when feasible, a main axis as close as possible to the fracture plane orientations should be chosen.

476

477 **4-2** Hydraulic conductivity of regular fracture networks

The second case study is that of a regular fracture network in a block of $100 \times 100 \times 8$ m³. The 478 479 system is not strictly three-dimensional because fractures are vertical and main hydraulic 480 gradients in the block concealing the fracture network are horizontal. These settings, however, 481 allow a better assessment of the influence of fracture intersections. We first show that some 482 constraints in terms of grid size exist to actually represent the connectivity of the fracture network 483 on a regular grid. The smaller the grid size, the closer the representation is to the geometrical 484 reality. The contact surface between two intersecting fractures obviously depends on the size of 485 the mesh, so that head gradients as well as flux exchanged across the intersection should 486 intuitively depend upon the grid size. The convergence of the flux in the network toward a 487 constant value with grid size is reached for cell dimensions tending to the fracture aperture.

488

489 The fracture network (Figure 6) is made of four families of vertical fractures with directions of 490 10° , 34.5° , 100° , and 124.5° (Figure 7). The network is mapped onto five regular grids with 491 meshes varying from 0.1 m on a side up to 4 m (Figures 6a, 6c). For the purpose of comparison, 492 the network is also explicitly meshed (without mapping). No special effort to optimize the 493 number of cells was done for this explicit meshing and both fracture planes and fracture 494 intersections are discretized at a mean cell size of 0.2 m (Figure 6b). With the network topology 495 and the explicit meshing, the modelling exercise is very similar to that depicted in Karimi-Fard et 496 al. (2006). This exercise will also serve as reference for evaluating accuracy of fluxes draw from 497 the FCVA approach. The properties associated with the fractures of all families are constant: hydraulic conductivity $(3.8 \times 10^{-8} \text{ m.s}^{-1})$ and aperture (2.10^{-2} m) . Figure 6 shows the same fracture 498

499 network mapped onto two regular grids, the first with a fine space step (0.2 m, Figure 6a), the 500 second with a coarse step (4 m, Figure 6c). In the second case, the connectivity of the system is 501 not represented. The contact surface at the fracture intersections is better modelled for the finest 502 grid. The validation of the FCVA is addressed by considering numerical fluxes from FCVA, 503 analytical fluxes from (3) and numerical fluxes from the explicit meshing of the fracture network. We note Q_{ij} the flux through the facet j (or equivalently along direction j) considering a head 504 gradient along the direction *i*. The specific label Q_{ij}^{expl} denotes fluxes from the explicit meshing of 505 the network. Using Equation 3, analytical fluxes of the whole network Q_{ij}^{ana} are calculated as the 506 507 sum of analytical fluxes associated with the individual fractures.



Fig. 6. Discretization of a regular fracture network by using a fine grid size of 0.2 m on a side (a) and a coarse grid size of 4 m (c). In b a portion of the explicit meshing of the fracture network for

510 the purpose of comparison with the mapping procedure.





Fig. 7. Calculations of fluxes in a block enclosing different families of parallel fractures. Q_x , Q_y , 511 512 and Q_z refer to the fluxes in the whole block along the x, y and z directions, respectively. Labels "Ana" and "Num" refer to analytical solutions and numerical ones. The fluxes Q_x , Q_y and Q_z are 513 calculated for three main head gradients along the x, y and z directions. 514 In a first stage, we study the fluxes in each of the four fracture families of the fracture network 515 516 independently (Figure 7). This is done in order to compare FCVA numerical flux values with 517 analytical ones. The error should be stronger than for the single fracture test case because there is 518 a side effect for the fractures which cross the fractured block from a vertical facet to a vertical 519 adjacent one. Indeed, for these fractures, the no-flow boundary condition is not respected for the 520 S and C sets of cells that touch the sides of the block. The fluxes through each facet of the 521 fractured block are reported in Figure 7 considering three head gradient directions. As expected,

the flow is correctly modelled for each fracture set. The order of magnitude of the different fluxesis well captured, with relative errors between analytical and numerical fluxes less than 10%.

524

525 In a second stage, we model flow into the whole network including the four fracture families. 526 This exercise is performed by assigning the fracture intersection with the highest hydraulic 527 conductivity value of the fractures present at the intersection. The analytical fluxes are still 528 obtained as the sum of fluxes in each fracture (drawn from Equation 3). The above settings 529 correspond to the classical approach of Oda (1986) which, in terms of fracture intersections, is 530 equivalent to assume independent flow between fractures. If the same strategy is applied to 531 numerical fluxes (i.e., by summing the numerical fluxes of each fracture family), it is obvious 532 that the total numerical fluxes will be similar to analytical ones simply because FCVA is accurate 533 for poorly connected fracture networks. On the other hand, calculating flux over the whole 534 network, including interactions between fractures at their intersections, will cause the numerical 535 fluxes to diverge from analytical ones. First, we note that the numerical fluxes calculated from a 536 network explicitly meshed at small mesh size (see above) are similar to that from the analytical 537 ones. This is the consequence of the explicit and precise meshing of fracture intersections to 538 which the highest local hydraulic conductivities are assigned. In addition there is no dead-ends in 539 the fracture network. No forces (except the local conductivity) are opposed to flow in each 540 fracture with the consequence that the total flux in the block is the mere addition of each fracture 541 contribution. In the end, the differences between FCVA and analytical (or explicit meshing) 542 approaches must be associated with the FCVA geometrical representation of the fracture network 543 and depend on the discretization. Figures 8 and 9 report on analytical fluxes, numerical fluxes 544 from an explicit meshing of the network and FCVA fluxes values of the fractured block for 545 different mesh size values (from 0.1 to 4 m).



547

Fig. 8. Evolution of calculated fluxes in a fractured block (network in Fig. 6) with elementary mesh sizes evolving from 0.1 to 4 m on a side. The notation Q_{ij} (*i*, *j* = *x*, *y*, *z*) refers to fluxes along the *j* direction for a main head gradient along the *i* direction. The analytical values "*Ana*" are that from the Oda's assumption stating independent flow between fractures. The values labelled "Expl" stem from calculation over a fracture network explicitly (completely) meshed for both fracture planes and fracture intersections.

554



555 556

Fig. 9. Fluxes through a fractured block (network in Fig. 6). Evolution of the relative error (Q_{num} 557 $-Q_{ana}$)/ Q_{ana} with elementary mesh sizes in the range 0.1 – 4 m. Q_{ij} (i, j = x, y, z) refers to fluxes 558 along the *j* direction for a main head gradient along the *i* direction.

559 The main observation is a convergence of FCVA numerical fluxes values toward analytical ones 560 when decreasing the mesh size. For finer grids (mesh size values of 0.1 - 1 m), the relative error 561 on fluxes is close to 10 %, which is generally very reasonable in view of the weak precision on 562 hydraulic property measurements in natural media. When increasing the mesh size, the evolution 563 of relative errors on fluxes is not monotonic (Figure 9), especially for marginal fluxes Q_{ii} , i.e., 564 fluxes along direction *i* when applying a main head gradient along direction *j*, $j \neq i$. Relative errors 565 may reach 50-100% on $Q_{ii} \neq i$ but theses fluxes are also ten times less than fluxes Q_{ii} making 566 therefore a relative error of 50% on Q_{ij} , something small compared to the total flux conveyed by 567 the fractures. The non-monotonic behaviour of errors comes from the competition between: 1-568 the calculation of the number N of cells in a "Complex" C set (cells connecting by their horizontal 569 facets two portions of planes of different elevation); 2- some cells at the limits of the fractured 570 block may show non-null fluxes through their top and bottom facets, which contradicts the 571 assumption used to calculate hydraulic conductivity tensors (see Section 3). As expected 572 however, for coarse discretizations with rough representations of fracture intersections, the 573 general trend is that of errors on equivalent conductivity tensors increasing quickly with the 574 discretization size. The first criterion for providing accurate results is to respect the connectivity 575 of the fracture network; as a rule of thumb, the smallest matrix block between fractures should be 576 represented by a few cells. However, by considering the order of magnitude of errors with 577 reference to computation efforts, simulations based on coarse discretizations may be very 578 attractive for preliminary results. These computations efforts are summed up in Table 3 for 579 different FCVA discretizations.

580 581

Number of cells Meshing CPU time Flow (3directions) Δ (m) CPU time (s) (s) 4 884 3 1 7 2 6 4108 18240 24 34 1 121440 193 370 0.4 495120 23257 0.2 864

584

Table 3. Computation times for meshing and calculating fluxes over a fracture network (network in Fig. 6) at different cell sizes.

586 In a final exercise, flow simulations are performed for different assumptions regarding the 587 behaviour of fracture intersections. The goal is not to propose a third validation exercise of the FCVA but to illustrate how an additional freedom degree can be added in modelling flow by 588 589 introducing different hydraulic behaviours at fracture intersections. Ideally, the choice of 590 intersection modelling should be dictated by geological considerations. In practice, the values of 591 hydraulic conductivity at fracture intersections could have some statistical dependence on the 592 values of intercepting fractures, or be in a range of values supposed to mimic a set of objects 593 between clogged and widely opened intersections. Four intersection models are considered in the 594 following sensitivity study. A first choice is to assign, at the intersection cells, the highest 595 hydraulic conductivity of intersecting fractures and correct it to obtain the equivalent 596 permeability tensor (Model I1, already used in the previous simulations). Another option (model 597 I2) is to sum each fracture contribution and to correct the obtained value. These choices do not 598 significantly change the order of magnitude of hydraulic conductivity values applied to the 599 intersection cells. Thus, to model extreme cases as clogged or opened intersections, we apply a 600 correction to the highest hydraulic conductivity value of fractures present at the intersection (i.e.,

601 corrections to I1), respectively $k_{\text{int}}^{cor} = \frac{a}{\Delta} 10^{-6}$ for a clogged intersection (model I3a) or $k_{\text{int}}^{cor} = 10 \frac{a}{\Delta}$ 602 for an opened intersection (model I3b).

603

The influence of these models I1 to I3b is studied for the network of four fracture families discussed above and discretized at the constant grid size of 0.4 m. The incidences in terms of breakthrough fluxes through the facets of the fractured block are illustrated in Figure 10.



608

Fig. 10. Sensitivity of fluxes through a fractured block (network in Fig. 6) to the various choices of hydraulic conductivity tensors at fracture intersections (Q_{ij} is the flux along the direction *j* considering a head gradient along the direction *i*). "*Ana*" corresponds to analytical solution. I1: intersections with the highest conductivity of intersecting fractures; I2: intersections summing each fracture contribution; I3a: "clogged" intersection; I3b: "opened" intersection. The analytical expressions corresponding to Oda's conditions are used as a reference for

615 comparison purposes. As stated before, this reference considers no interactions between fractures 616 leading to a full hydraulic conductivity tensor. Relative discrepancies on fluxes Q_{xx}, Q_{yy}, Q_{zz} 617 (Q_{ij} stands for flux through facet *j* for a head gradient along *i*) between the analytical Oda's case 618 and the numerical results are computed. The relative errors $(Q^{num} - Q^{ana})/Q^{ana}$ are on average of 619 (0.2%, 11%, 4%), (11%, 15%, 1%), (26%, 33%, 16%) and (62%, 69%, 148%) for models I1, I2,

I3a and I3b, respectively. Models I1 and I2 (with hydraulic conductivity tensors assigned to intersections of the same order of magnitude as that of fractures) lead to comparable results in terms of fluxes. This is not the case for models I3a and I3b, in which the order of magnitude of hydraulic conductivity tensors of fracture intersections significantly differs from the fracture hydraulic conductivity. Notably, it could be expected from the I3a case, corresponding to very low permeability at intersections, that it renders the largest differences between analytical and numerical fluxes. Actually, this is not the case here because of the type of boundary conditions 627 used (linear variation of heads along the contours of the block), allowing preferential flow along 628 the sides of the block when it is hard to pass through the block because of clogged fracture 629 intersections. In the end and at least for the three models of intersections I1, I2 and I3a, it seems 630 that the behaviour of fracture intersections does not significantly modify the macroscopic 631 hydraulic conductivity of the whole fractured block.

632

633 **5 Conclusions**

This paper proposes a new voxel continuum approach for fractured media (FCVA, Fracture Continuum Voxel Approach) as part of a general modelling strategy which consists in mapping main fractures onto a regular three-dimensional grid while minor fractures and matrix blocks are represented by an equivalent porous medium (of single or dual porosity). FCVA put emphasis on calculating equivalent hydraulic conductivity tensors, as opposed to scalar values, for regular cells discretizing the fracture network.

640

641 The voxel continuum approach is developed for planar fractures. The method requires a 642 preliminary step of choosing the right cell size for mapping the fracture network. The cell size 643 should keep the fracture network connectivity with a minimum amount of cells. The second step 644 provides equivalent conductivity values of these cells in the general framework of a Mixed 645 Hybrid Finite Element (MHFE) scheme for solving Darcian flow and preserving fluid fluxes at 646 the interfaces between elements. This approach presents the advantages of providing a much 647 localized fracture geometry and equivalent properties in terms of tensors instead of scalar values 648 (e.g., compared with smeared fracture approaches). However and for the sake of simplicity and 649 computational efficiency: 1- the tensors are calculated for groups of cells with the same 650 geometric configuration and hydraulic behaviour in the fracture plane, and 2- the tensors are 651 limited to their diagonal terms.

652

Applicability of FCVA for steady-state Darcian flow was evaluated on test cases handling both single fractures and fracture networks. For single fractures, the error in terms of flux values is limited to 25% for the worst case of fracture azimuth and dip close to 45°. For fracture networks, alignment of fracture planes with the main directions of the discrete grid allows to minimize

errors on fluxes (and hydraulic conductivity tensors). The optimal case is obtained for networks 657 658 composed of sub-vertical and sub-horizontal families (error of a few per cents). Such levels of 659 errors are reasonable as compared to classical uncertainties obtained when measuring hydraulic 660 properties of natural fractures. Still, for fracture networks, a minimal network dependent grid size 661 is required to accurately represent the geometry and connectivity of the system. As a rule of 662 thumb, the minimal size of matrix blocks between fractures should be discretized by a few grid 663 cells (2-4). For smaller grid sizes, the accuracy of the flow simulation increases. Depending on 664 the given application, the user may then balance accuracy versus computational effort.

665

Another issue is the treatment of fracture intersections in fracture networks. The approach by Oda (1986), though precise (full tensor), makes strong assumptions on the equivalent hydraulic behaviour of a fractured block in adding the contributions of all fractures (equivalent to state the independence of flow between all intercepting fractures). The FCVA voxel continuum approach allows various assumptions for assigning hydraulic conductivity of fracture intersections. In all cases it is important to note that a user can improve the effective hydraulic conductivity of the whole fractured block by changing conductivities at intersections.

673

674 Perspectives in the near future will address the problem of heterogeneous properties within each 675 fracture plane. Because cells in a fracture plane are regrouped into so-called S and C subsets, it is 676 envisioned that further versions of FCVA will handle uniform properties of cells within a given 677 group, but varying between different S and C groups of the same discretized fracture plane. 678 Notably, the extension of FCVA to other objects than planar fractures is also under study. Some 679 of them, such as bounded rectangles or squares, disk or ellipsoid shaped planes, could be handled 680 directly by starting with an infinite fracture plane intersecting the whole domain and then 681 removing the grid cells that do not belong to the prescribed geometry. Other types of objects, like 682 wells, tunnels etc., require more algorithmic efforts. We also raise that modelling flow at fracture 683 intersections should be improved in terms of tensor representation and flux distribution. The 684 theory and parameters that define a flow dimension could be investigated and used to quantify 685 flow magnitudes distributions consistent with fracture connectivity (Barker 1991; Geier et al., 686 1996; Andra 2001). This might become an important issue especially if FCVA is envisioned as a 687 possible tool for solute transport modelling.

688

689 Finally, we note that the development of meshing tools based on corner-point grids and non-690 structured finite-volume approaches to discretize flow equations are also very appealing. They 691 allow meshing objects of complex geometry (including warped fracture planes and their 692 relationship with the host matrix) with a reasonable number of cells. The finite-volume (control 693 volume) approach is a priori incompatible with the MHFE technique because mass conservation 694 in finite-volumes is associated with (harmonic) averaging of conduction properties between 695 adjacent elements. MHFE do not rely on averaging, each finite element keeping its own hydraulic 696 properties. Mass conservation is fulfilled in building the discrete system of equations by equating 697 inlet-outlet fluxes at the interfaces between adjacent elements. Except for this technical point, the 698 FCVA and its calculation of anisotropic tensors are not banned from applications to non-699 structured meshing. We foresee some possibilities to map fractures onto corner-point grids. These 700 possibilities assume: 1- to build an algorithm able to remove useless cells at intersections 701 juxtaposing pieces of planar objects representing the fractures (see Section 3), 2- to calculate 702 MHFE fluxes over contorted elements. This is feasible especially with a variation of MHFE 703 handling a single unknown per element (Younes et al., 2010). With this variation, MHFE adopt a 704 philosophy very similar to control-volumes while avoiding calculations of inter-block 705 parameters. The latter feature would facilitate the evaluation of full anisotropic tensors of 706 hydraulic conductivity. Nevertheless, adapting the mapping presented in this paper to corner-707 point gridding needs for important algorithmic efforts that are postponed to further investigations. 708

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